

## Chapter 2 The modular group & modular functions

### The modular group $\Gamma$

is the set of all Möbius transformations

$$z' = \frac{az + b}{cz + d}$$

where  $a, b, c, d \in \mathbb{Z}$ ,  $ad - bc = 1$ .

This group is identified with  $SL_2(\mathbb{Z}) = \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{Z}) : \det(A) = 1 \}$  provided we identify  $A$  with  $-A$  since if  $\tau$  reps. the same Möbius transf. For  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  we write

$$Az = \frac{az + b}{cz + d}$$

Theorem The modular group  $\Gamma$  is generated by the matrices

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad Tz = z + 1$$

$$\& S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad Sz = -1/\bar{z}. \quad (S^2 = -I)$$

That is every  $A \in \Gamma$  can be expressed

$$A = T^m S T^n \dots S T^m$$

where  $m, n$  are integers. This rep. is not unique.

Proof. Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we may assume  $c \geq 0$ . We use induction on  $c$ .

If  $c = 0$ ,  $ad = 1$  so  $a = d = \pm 1$ ,

$$A = \begin{pmatrix} \pm 1 & b \\ 0 & \pm 1 \end{pmatrix} = \begin{pmatrix} 1 & \pm b \\ 0 & 1 \end{pmatrix} = T^{\pm b}$$

The result is true for  $c = 0$ .

If  $c = 1$ ,  $ad - b = 1$ ,  $b = ad - 1$

$$A = \begin{pmatrix} a & ad-1 \\ 1 & d \end{pmatrix} = \begin{pmatrix} a & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix}$$

$$\frac{az + ad - 1}{z + d} = \frac{a(z + d) - 1}{z + d} + \frac{0}{z + d}$$

$$= \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix} \quad (2)$$

$$A = T^a S T^b \stackrel{10}{=} \frac{AZ + aI - 1}{z + d} = \frac{z(z+d) - 1}{1(z+d) + 0}$$

$$= \frac{-1}{(z+d)} + a$$

Now assume the result holds for all  $A = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$  with  $a'c' < c$ ,  $c' > 2$ .

Since  $ad - bc = 1$ ,  $(c, d) = 1$ .

$d = cg + r$  when  $0 < r < c$ .

$$A T^{-d} = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} \begin{pmatrix} 1 & -d \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a' & -a'd + b' \\ c' & -c'd + d' \end{pmatrix}$$

$$= \begin{pmatrix} a' & -a'd + b' \\ c' & r \end{pmatrix}$$

$$A T^{-d} S = \begin{pmatrix} a' & -a'd + b' \\ c' & r \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$= \begin{pmatrix} & \\ & r \end{pmatrix}$$

Since  $a'c' < c$  this matrix is ~~invertible~~ with non product of the diagonal form ~~matrix~~ (by inverts-type A) & Hence A can.  $\square$

### Fundamental Regions

$\in H$

(3)

Let  $G < \Gamma$ . Two points  $z$  and  $z'$  are said to be equivalent under  $G$  if

$$z' = Az \text{ for some } A \in G.$$

This is equivalence rel. since  $G$  is a group.

This equiv. rel. divides the upper half plane  $H$  into disjoint equivalence classes called orbits.

Let  $z \in H$ .

$$\text{The orbit } Gz = \{Az : A \in G\}.$$

Definition: Let  $G < \Gamma$ . An open subset  $R_G \subset H$  is called a fundamental region if

- (a) No two distinct points of  $R_G$  are equivalent under  $G$ .
- (b) If  $z \in H$  there is a point  $z'$  in  $\overline{R_G}$  such that  $z \sim z'$  under  $G$ .

### Example

$$\text{Let } G = \{ \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} : n \in \mathbb{Z} \}; \quad z' = z + n \text{ (translation)}$$

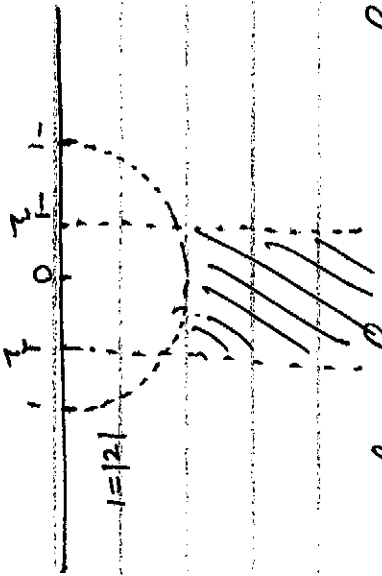
$R_G$  is a fundamental region of  $G$ .



Theorem

(\*)

$\Gamma = \{z \in \mathbb{H} : |z| > 1 \text{ and } |z + \bar{z}| < 1\}$   
is a fundamental region for the modular group  $\Gamma$ .



Lemma Let  $z_1, z_2 \in \mathbb{R}$ ,

$$D_1 = \{mz_1 + n z_2 : m, n \in \mathbb{Z}\}$$

Then  $\exists$  a fundamental pair  $(w_1, w_2) \sim (w_1', w_2')$

such that 
$$\begin{pmatrix} w_2 \\ w_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} w_2' \\ w_1' \end{pmatrix}, \text{ ad-bc} = 1,$$

and  $|w_2| \geq |w_1|, |w_1 + w_2| \geq |w_1|, |w_1 - w_2| \geq |w_2|$ .

Proof:

We arrange the elements of  $D_1$  in a sequence according to increasing distance from the origin

$$D_2 = \{0, w_1, w_2, \dots\}$$

where  $0 < |w_1| \leq |w_2| \leq \dots$

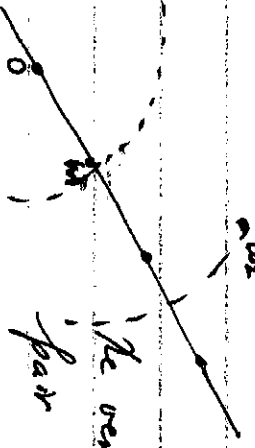
and  $\arg w_n < \arg w_{n+1}$  if  $|w_n| = |w_{n+1}|$ .

Let  $w_2 = w_1$ . Let  $w_2$  be the first member of  $D_2$

that is not a multiple of  $w_1$ .

Hence the triangle  $O, w_1, w_2$

contains no other of  $D_2$  except



the vertices. So  $(w_1, w_2)$  is a fundamental pair which also spans  $D_2$ .

Hence there are integers  $a, b, c, d \in \mathbb{Z}$ , (5)  
 $ad - bc = \pm 1$  a. tr

$$\begin{pmatrix} \omega_2 \\ \omega_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \omega_2' \\ \omega_1' \end{pmatrix}.$$

If  $ad - bc = -1$ , replace  $b$  by  $-b$ ,  $d$  by  $-d$  and  $\omega_1$  by  $-\omega_1$ . Hence same  $\gamma_n$  holds but  $ad - bc = 1$ .

Answer  $|\omega_2| \geq |\omega_1|$  by construction.

$\omega_1 \pm \omega_2$  is not a multiple of  $\omega_1$ , and so there exists  $\delta$  by construction  $|\omega_1 \pm \omega_2| \geq |\omega_1|$  by construction.  $\square$

Theorem If  $z' \in H$  there exists a complex number  $z \in H$  equivalent under  $\Gamma$  such that

$$|z| \geq 1, \quad |z+1| \geq |z| \quad \text{and} \quad |z-1| \geq |z|.$$

Proof: Let  $\omega_1' = 1, \omega_2' = z'$

$$\omega_2 = \{ m + n z' : m, n \in \mathbb{Z} \}.$$

Then  $\exists$  a fundamental pair  $(\omega_1, \omega_2)$  with

$$|\omega_2| \geq |\omega_1|, \quad |\omega_1 \pm \omega_2| \geq |\omega_2|.$$

$$\text{Let } z = \frac{\omega_2}{\omega_1}. \quad \text{Then } \begin{pmatrix} \omega_2 \\ \omega_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \omega_2' \\ \omega_1' \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z' \\ 1 \end{pmatrix}$$

$$\text{As } z = \frac{\omega_2}{\omega_1} = \frac{az' + b}{cz' + d} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} z', \quad \text{with } ad - bc = 1.$$

$$\text{As } |z| = \left| \frac{\omega_2}{\omega_1} \right| \geq 1, \quad |1 \pm \frac{\omega_2}{\omega_1}| \geq \frac{|\omega_2|}{|\omega_1|}, \quad |1 \pm z| \geq |z|.$$

NOTE: Let  $z \in H$ .  $|z \pm 1| \geq |z| \Leftrightarrow |z + \bar{z}| \leq 1$ .

Proof:  $(\Rightarrow)$  Suppose  $|z \pm 1| \geq |z|^2 \Rightarrow (z \pm 1)(\bar{z} \pm 1) \geq z\bar{z} + (z + \bar{z}) \Rightarrow -1 \Rightarrow z\bar{z} \pm (z + \bar{z}) + 1 \geq z\bar{z} \Rightarrow \pm(z + \bar{z}) \leq 1 \Rightarrow |z + \bar{z}| \leq 1$ .

### Theorem

The open set

$$R_\Gamma = \{z \in H: |c| > 1, |c + \bar{z}| < 1\}$$

is a fundamental region for  $\Gamma$ . Moreover if  $A \in \Gamma$  and if  $Az = z$  for some  $z \in R_\Gamma$  then  $A = I$ .

Proof: Previous theorem shows that if  $z' \in H \exists z \in \bar{R}_\Gamma$  such that  $z' \sim z$  under  $\Gamma$ .

Let no two distinct pts of  $R_\Gamma$  are equivalent under  $\Gamma$ . Suppose  $z' \sim z$ , under  $\Gamma$ .

$$z' = Az \quad \text{where } A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$\operatorname{Im} z' = \frac{\operatorname{Im} z}{|cz + d|^2}$$

Suppose Claim  $z \in R_\Gamma \wedge c \neq 0 \Rightarrow \operatorname{Im} z' < \operatorname{Im} z$ .

Suppose  $c \in \mathbb{R}$  &  $c \neq 0$ . Then

$$|cz + d|^2 = (cz + d)(c\bar{z} + \bar{d})$$

$$= c^2 z\bar{z} + cd(z + \bar{z}) + d^2$$

$$\Rightarrow \operatorname{Im} z' < \operatorname{Im} z \Rightarrow c^2 - |c||d| + d^2$$

If  $d = 0$ , then  $|cz + d|^2 \Rightarrow c^2 > 1$  &  $\operatorname{Im} z' < \operatorname{Im} z$ .

If  $d \neq 0$ ,

$$|cz + d|^2 > |d|^2 - |c||d| + |d|^2$$

$$= (|c| - |d|)^2 + |cd| \Rightarrow |cd| > |$$

$\wedge \operatorname{Im} z' < \operatorname{Im} z$ . This proves the claim.

Hence every elt. of  $A$  of  $\Gamma$  with  $c \neq 0$  decreases the imag. part of each pt  $z$  of  $R_\Gamma$ .

Suppose  $z \sim z'$  &  $z, z' \in R_\Gamma$ .

$$z' = Az, \quad A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$z = A^{-1}z', \quad A^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

If  $c \neq 0$ , it follows that

$$\operatorname{Im} z' < \operatorname{Im} z \quad \& \quad \operatorname{Im} z < \operatorname{Im} z'$$

(7)

which is impossible. Therefore,

$$c=0, \quad ad \neq 1, \quad a = d = \pm 1, \quad ad$$

$$A = \begin{pmatrix} \pm 1 & b \\ 0 & \pm 1 \end{pmatrix} = T^{\pm b}$$

$$z - z' = \pm b \Rightarrow b=0 \quad \& \quad z = z'$$

Hence no two distinct pts of  $\mathbb{R}$  are equivalent under  $T$ .

Finally, suppose  $Az = Tz$

for some  $z$  in  $\mathbb{R}$ . Let  $T' = T$ . By the argument above

$c=0$ ,  $b=0$  &  $A = I$ . So only the identity has fixed points in  $\mathbb{R}$ .  $\square$

### Modular Functions

Definition  $f: H \rightarrow \mathbb{C}$  is a modular function on

if

(a)  $f$  is meromorphic on  $H$ ,

(b)  $f(Az) = f(z)$  for every  $A \in \Gamma$ ,  $z \in H$ .

(c)  $f$  has a Fourier expansion

$$f(z) = \sum_{n=-m}^{\infty} a(n) e^{2\pi i n z} = \sum_{n=-n}^{\infty} a(n) q^n$$

Note (1)  $g = e^{2\pi i z} \rightarrow 0$  if  $z = i\infty$

(2) if  $m > 0$  &  $a(-m) \neq 0$  we say  $f$  has a pole of order  $m$  at  $i\infty$ .

(3) if  $m \leq 0$ ,  $f$  is analytic at  $i\infty$ .

EXAMPLE  $J(\tau)$  is a modular function & has a pole of order 1 at  $i\infty$ .

For  $p \in H$  let  $v_p(f) = \text{order of zero of } f \text{ (or } - \text{ order of pole)}$

Let  $v_{i\infty}(f) = \text{power of first non-vanishing term in } \sigma^{-m} f$ .

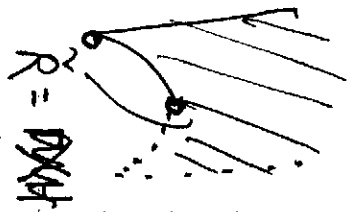
$$\text{Then } v_{i\infty}(f) + \frac{1}{2} v_i(f) + \frac{1}{3} v_p(f) + \sum_{p \in R} v_p(f) = 0 \tag{8}$$

Theorem Suppose  $f$  is a modular function

that is not identically zero. Then in  $\bar{R}$  the

number of zeros of  $f$  is equal to the number of

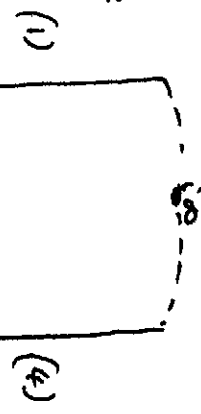
poles of  $f$ , counted as follows:



$\bar{R} = \text{Dashed}$  In the interior  $R$  zeros and poles are counted as usual.

$$= \Gamma \backslash H$$

it of Fuchsian group  $H$



$-1 = i$   $\frac{-1}{i} = p+1$   
 Any zero or pole on (1), (2) corresponds to a zero/pole on (3), (4).  
 Only zero/poles on (1), (2) are counted.

The order of zero/pole at  $p$  is divided by 3. The order at  $i$  is divided by 2. The order at  $i\infty$  is counted.

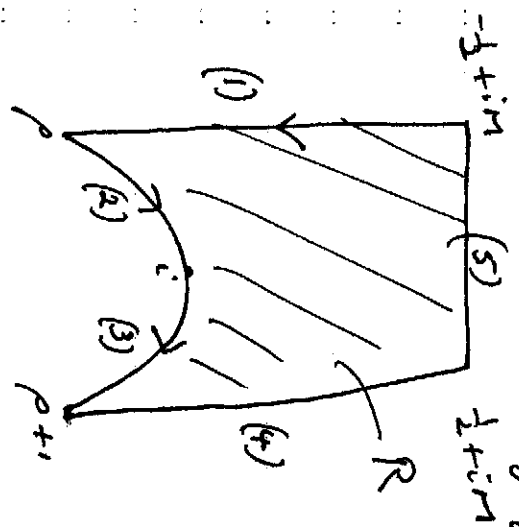
$$= e^{i\theta}$$

$$= e^{(z-\theta)i}$$

Proof:

Case 1

$f$  has no zero or poles on the finite part of the boundary of  $R$ .



Choose  $M$  large enough so region includes all zeros and poles of  $f$ , except at  $i\infty$ .

NOTE: let  $z = x + iy$

$$|q| = |e^{2\pi iz}| = e^{-2\pi y}$$

neighborhood of  $i\infty$  one gets the form  $\{x + iy; y > C\}$ .

So if  $f(z) = \sum a_n z^n, \rho < |z| < \delta$

then  $f$  is hole for  $\sigma \text{Im } z > (-\frac{1}{2\pi} \ln \delta)$ .

Let  $R$  be the boundary of  $R$ . Let  $N, P$  denote

the total zeros & poles of  $f$  in  $R$  (counted with multiplicity)



Then

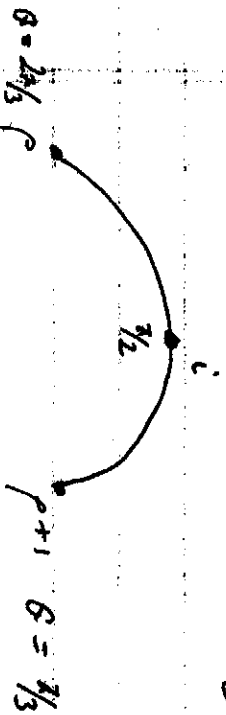
(7)

$$N - P = \frac{1}{2\pi i} \int_{\partial R} \frac{f'(z)}{f(z)} dz$$

$$= \frac{1}{2\pi i} \left\{ \int_{(1)} + \int_{(2)} + \int_{(3)} + \int_{(4)} + \int_{(5)} \right\}$$

$$\int + \int = 0 \quad \text{since } f(z+1) = f(z) \text{ \& } f'(z+1) = f'(z).$$

$$\text{Let } z = e^{i\theta} \quad \text{Then } -\frac{1}{z} = -e^{-i\theta} = e^{i(\pi-\theta)}$$



$$\text{Let } S(z) = -1/z.$$

$$f(S(z)) = f(z)$$

$$f'(z) = f'(S(z)) S'(z)$$

$$\int_{(2)} \frac{f'(z)}{f(z)} dz = \int_{2\pi/3}^{4\pi/3} \frac{f'(z(\theta))}{f(z(\theta))} z'(\theta) d\theta$$

$$= \int_{2\pi/3}^{4\pi/3} \frac{f'(S(\tau(\theta))) S'(\theta) \tau'(\theta) d\theta}{f(S(\tau(\theta)))}$$

~~W.P.~~  
= W.P.

If  $\tau(\theta)$  parametric (2),  $S(z(\theta))$  parametric - (3)

$$\int_{(2)} = - \int_{(3)} + \int_{(1)} + \int_{(3)} = 0.$$

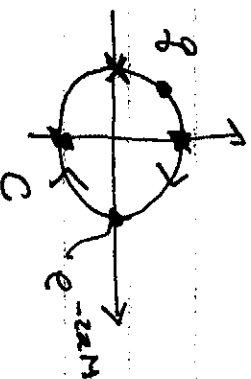
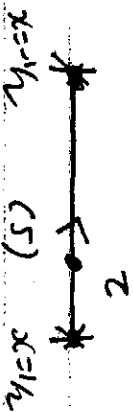
Hence

$$N - P = \frac{1}{2\pi i} \int_C \frac{f'(z)}{f(z)} dz$$

(10)

Let  $g = e^{2\pi iz}$  At  $z = x + iy$

$$g = e^{2\pi ix} \cdot e^{-2\pi y}$$



Let  $F(g) = \sum a_n g^n$

Asst  $F(e^{2\pi iz}) = f(z)$

Let  $g = e^{2\pi iz}$

$$f'(z) = F'(g) \frac{dg}{dz}$$

$$f'(z) dz = F'(g) dg$$

$$\frac{f'(z) dz}{f(z)} = \frac{F'(g) dg}{F(g)}$$

So  $\frac{1}{2\pi i} \int_C \frac{f'(z)}{f(z)} dz = \frac{1}{2\pi i} \int_C \frac{F'(g)}{F(g)} dg$

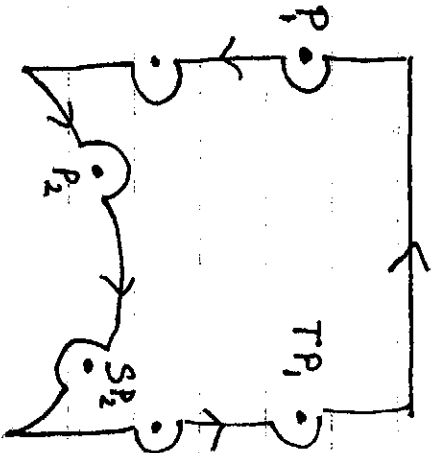
$$\Rightarrow \text{Residues} = -\text{Res} (5)$$

The only possible zero or pole of  $F(g)$  is at  $g=0$ .

The order of this zero or pole corresponds to the order of  $f(z)$  at  $i\infty$ .

Case 2  $f$  has a zero or pole on the boundary of  $R_T$  but not at vertex  $(p, i, pt+1)$ .

Use deformed path:

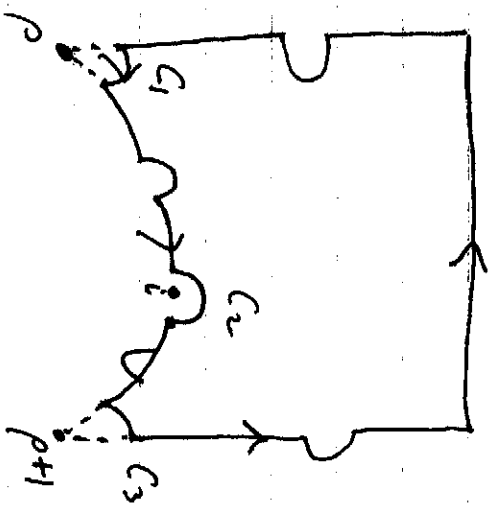


EX For  $A \in T$

$$V_A(A) = V_{AP}(f) = v_p(f)$$

Integrals along opposite sides cancel as before. Every detour on boundary is cancelled out and result follows as before.

Case 3  $f$  has a zero, pole at  $p$  or  $i$ . Use modified path similarly.



Near the vertex  $p$  we write

$$f(z) = (z-p)^k g(z), \quad g(p) \neq 0$$

$$f'(z) = k(z-p)^{k-1} g(z) + (z-p)^k g'(z)$$

$$\frac{f'(z)}{f(z)} = \frac{k}{z-p} + \frac{g'(z)}{g(z)}$$

for  $z$  near  $p$ . NOTE  $k = v_p(f)$

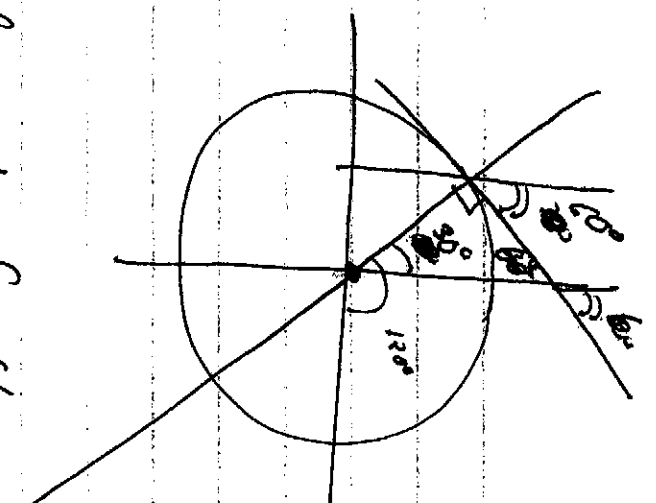
$\frac{1}{2\pi i} \int_{C_1} \frac{f'(z)}{f(z)} dz$   
 Cancel  $\int_{C_3}$

On  $C_1$  (circular arc) let radius =  $r$ .

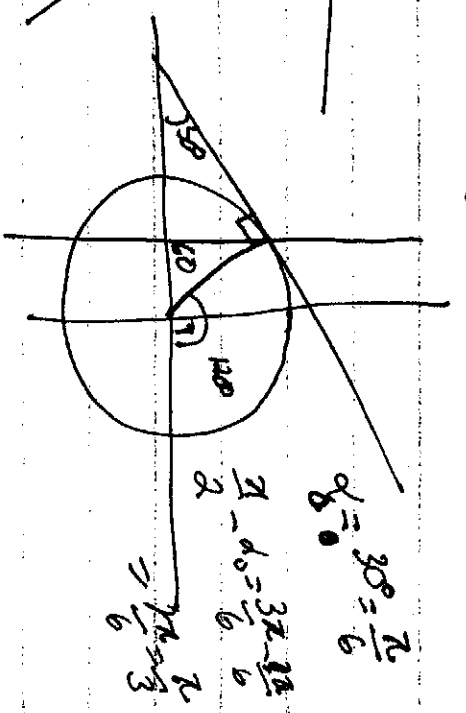
As  $C_1: z = p + re^{i\theta}, \alpha < \theta \leq \theta + \frac{1}{2}$

$$\frac{1}{2\pi i} \int_{C_1} \frac{f'(z)}{f(z)} dz = \frac{1}{2\pi i} \int_{\alpha}^{\alpha + \frac{1}{2}} \frac{k}{z-p} dz + \frac{1}{2\pi i} \int_{C_1} \frac{g'(z)}{g(z)} dz$$

$$dz = r i e^{i\theta} d\theta, \quad \frac{1}{2\pi i} \int_{\alpha}^{\alpha + \frac{1}{2}} \frac{k}{z-p} dz = \frac{1}{2\pi i} \int_{\alpha}^{\alpha + \frac{1}{2}} \frac{k r i e^{i\theta} d\theta}{r e^{i\theta}} = \frac{k}{2\pi} (\alpha - \alpha + \frac{1}{2}) = -\frac{k}{2\pi} (\alpha_2 - \alpha_1)$$



$$\frac{1}{2} \cdot d_0 = 60^\circ = \frac{2\pi}{6} \quad (12)$$



$$\text{So } \lim_{n \rightarrow \infty} \frac{1}{2n} \int_{c_1}^{c_2} f'(z) dz = -\frac{k}{6}$$

and similarly

$$\lim_{n \rightarrow \infty} \frac{1}{2n} \int_{c_3}^{c_4} f'(z) dz = \frac{-k}{6}$$

$$\lim_{n \rightarrow \infty} \frac{1}{2n} \left( \int_{c_1}^{c_2} + \int_{c_3}^{c_4} \right) = \frac{-k}{3} = -\frac{1}{3} \nu(f)$$

Now, suppose  $h(z) = (z-i) \nu(f)$  etc.

We find  $\lim_{n \rightarrow \infty} \frac{1}{2n} \left( \int_{c_2}^{c_1} \frac{f'(z)}{f(z)} \right) = -\frac{k}{2} = -\frac{1}{2} \nu_c(f)$

Hence  $\sum_{p \in \mathbb{R}} \nu_p(f) = -\nu_{i\infty}(f) - \frac{1}{2} \nu_c(f) - \frac{1}{3} \nu_p(f)$

&  $\nu_{i\infty}(f) + \frac{1}{2} \nu_c(f) + \frac{1}{3} \nu_p(f) + \sum_{p \in \mathbb{R}} \nu_p(f) = 0. \square$

(wzp).

Theorem If  $f$  is a non constant modular function then for every complex constant,  $f = c$  has the same number of zeros as poles in  $\overline{R}_\Gamma$  (unless the conventions of the valence thm). In  $\mathbb{C}$  words,

$f$  takes on every value equally often in the closure of  $R_\Gamma$ .

Proof: If  $f$  is a modular function then  $f = c$  is  $f = c$ .

Let  $d$  be a value of  $f$ , i.e.  $d = f(\tau_0)$  for some  $\tau_0 \in H$ . Then # of solutions of

$$f(z) - d = 0$$

is equal to the # of zeros of  $f - d$

$$= \# \text{ of poles of } f - d$$

$$= \# \text{ of poles of } f \quad (\text{constant } d \text{ does not depend on } d). \quad \square$$

QED:  $(f - d)$  has the same number of zeros as poles in  $\overline{R}_\Gamma$ .

Let  $\alpha$  be any complex no.

# of poles of  $f - \alpha$  is # of poles of  $f$ .

Since  $\tau_0$  is a zero  $f(\tau_0) - d = f(\tau_0) - f(\tau_0)$

# of poles of  $f - \alpha$  is  $\geq 1$ .

Let  $\alpha$  be any complex no. Then

$$\begin{aligned} \ll \# \text{ of poles of } f - \alpha &= \# \text{ of poles of } (f - \alpha) \\ &= \# \text{ of zeros of } (f - \alpha) \end{aligned}$$

Hence  $f$  must assume the value  $\alpha$  in  $\overline{R}_\Gamma$  (including  $i\infty$ ).

Corollary: If  $f$ 's modular and bounded on  $H$  then it is constant.

## Special values of $J$

(14)

Theorem: The function  $J(z)$  takes every value exactly once in the ~~plane~~  $\mathbb{R}_z$ . In particular, at the values we have

$$J(\rho) = 0, \quad J(i) = 1, \quad J(i\omega) = \infty.$$

$$\text{Also, } v_\omega(J) = 3, \quad v_{i\omega}(J) = -1$$

$$v_i(J-1) = 2.$$

Proof: First we show

$$g_2(\omega) = g_3(i) = 0.$$

$$\text{Since } \omega^3 = 1, \quad \omega^3 - 1 = (\omega - 1)(\omega^2 + \omega + 1),$$

$$\omega^2 + \omega + 1 = 0$$

$$\frac{1}{60} g_2(\omega) = \sum' \frac{1}{(m+n\omega)^4} \quad \Omega_\omega = \Omega(1, \omega)$$

$$= \sum' \frac{1}{(m\omega^3 + n\omega)^4} = \frac{1}{\omega^4} \sum' \frac{1}{(m\omega^2 + n)^4}$$

$$= \frac{1}{\omega} \sum' \frac{1}{(n - m - m\omega)^4} = \frac{1}{\omega} \frac{1}{60} g_2(\omega)$$

$$\text{and } g_2(\omega) = 0.$$

$$\frac{1}{140} g_3(i) = \sum' \frac{1}{(m+ni)^6}$$

$$= \sum' \frac{1}{(i(m-in))^6}$$

$$= - \sum' \frac{1}{(m-in)^6} = - \frac{1}{140} g_3(i)$$

$$\& g_3(i) = 0.$$

$$J(z) = \frac{g_2^3(z)}{\Delta(z)} \quad (15)$$

The only pole of  $J(z)$  is at  $i\infty$  since  $\Delta(z) \neq 0$  for  $z \in H$  and  $v_{i\infty}(J) = 1$  (See Thm?)

$$\text{(since } J(z) = \frac{1}{12^3} \frac{1}{8} + \frac{744}{12^3} z + \dots)$$

Note Hence # of zeros of  $J(z) = 1$ .

We know  $v_{i\infty}(J) \geq 3$ . By Valence Thm

$v_{i\infty}(J) = 3$  and  $i\infty$  is the only zero of  $J(z)$ .

$$J(i) = \frac{g_2^3(i)}{g_2^3(i)} = 1$$

$$g_2^3(i) - 27g_2^2(i) = g_2^3(i)$$

It follows that  $v_i(J^{-1}) = 2$  since

$$-1 + \frac{1}{2}v_w(J^{-1}) + v_i(J^{-1}) + \sum v_p(J^{-1}) = 0$$

&  $v_i(J^{-1}) \geq 1$  &  $v_p(J^{-1}) \geq 0$  for  $p \neq i\infty$ .

$J(z)$  takes every value exactly once in  $\mathbb{R}^+$  since  $v_{i\infty}(J) = 1$ .

Theorem Any rational function ~~is~~ in  $J$  is a modular function. Conversely, any modular function is a rational function in  $J$ .

Proof Suppose  $f(z)$  is a modular function.

$$v_{i\infty}(f) + \frac{1}{2}v_i(f) + \sum_p v_p(f) = 0$$

$$v_i(f) \equiv 0 \pmod{2} \quad v_w(f) \equiv 0 \pmod{3}$$

$$J_0 \tilde{R} \text{ sat } m_i = \begin{cases} v_p(f) & p \text{ zero or pole of } f, p \in \mathbb{R}, p \neq w \\ \frac{1}{2}v_i(f) & \text{if } p = i \\ \frac{1}{3}v_w(f) & \text{if } p = w. \end{cases}$$

Let  $P = \{p \in \tilde{\mathbb{R}} : v_p(f) \neq 0\}$ .

$$\text{Let } g(x) = \prod_{p \in P} (J(x) - J(p))^{m_p} \quad (16)$$

$g$  has zeros and poles at  $p \in P$ . If  $r \neq p$ ,  $J(r) \neq J(p)$ .

For  $p \notin i, \omega$ ,  $v_p(J - J(p)) = 1$ .

So  $v_p(g) = m_p = v_p(f)$ .

~~The same~~  $v_i(J - J(i)) = 2$

$v_\omega(J - J(\omega)) = 2m_p = v_\omega(f)$  and

$v_\omega(J - J(\omega)) = v_\omega(J) = 3$

$v_\omega(g) = 3m_\omega = v_\omega(f)$ .

Now  $v_p(\frac{g}{f}) = 0$  for all  $p \in P$ .

Let  $h = \frac{g}{f}$ ,  $v_p(h) = 0$  for all  $p \in P$ .

It follows that  $v_{i, \omega}(h) = 0$  by the valuation formula ~~by~~

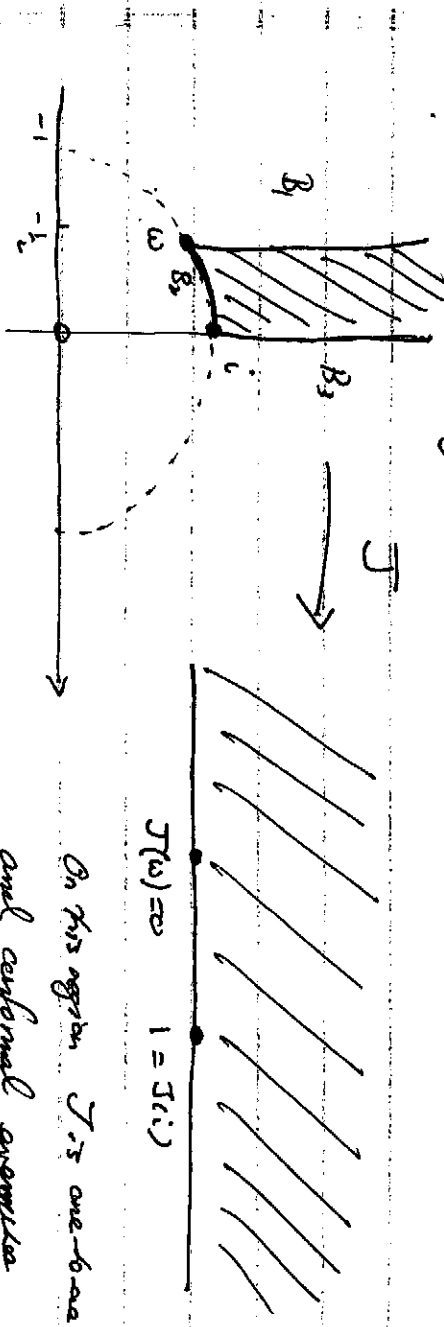
since  $h$  is a meromorphic function and  $h$  must be a constant.

Hence  $f(x) = C g(x) = C \prod_{p \in P} (J(x) - J(p))^{m_p}$ .  $\square$



# Mapping behavior of $J(z)$

(17)



$J(i) = 1$

On this region  $J$  is one-to-one and conformal everywhere except at  $z = i, \omega$

Let  $z = 0 + iy, f = e^{-2iy}$   $\in J$  is analytic and

$12^3 J(z) = \frac{1}{8} e^{2iy} \rightarrow \infty$  as  $y \rightarrow \infty$

so  $J(B_3) = [1, \infty)$ .

On  $B_2, z = -1/2 + iy, f = e^{2\pi i(-1/2 + iy)} = -e^{-2iy}$

$J(-1/2 + iy) \approx -e^{+2iy} \rightarrow -\infty$  as  $y \rightarrow \infty$

and  $J(B_1) = (-\infty, 0]$ .

The coefficients  $c(n)$  are rational integers (and hence real).

So if  $f = e^{2\pi i z}, \bar{f} = e^{-2\pi i \bar{z}}$  and  $J(\bar{z}) = \frac{\bar{f}}{J(-\bar{z})}$ .

On  $B_3, z\bar{z} = 1$ , and  $-\bar{z} = -1/z$ ,

$J(-\bar{z}) = J(-1/z) = J(z)$  (since  $z = -1/z \in T$ )

$J(z) = \overline{J(\bar{z})}$  &  $J(z)$  is real on  $B_2$ .

So  $J(B_2) = [0, 1]$ .

Since  $J$  is conformal the shaded region is mapped onto the upper half-plane  $T: \text{Im } z > 0$ .

Note if  $J'(z_0) = 0$  then  $v_{z_0}(J - J(z_0)) \geq 2$

## Inversion problem for Eisenstein series

(8)

Recall  $g(a; \omega_1, \omega_2)$  satisfies

$$\begin{aligned} [g'(\tau)]^2 &= 4g^3(\tau) - g_2 g(\tau) - g_3 \\ \text{where } g_2 &= 27g_3^2 \neq 0. \end{aligned}$$

Theorem: Given any two complex numbers  $a_2, a_3$

such that  $a_2^3 - 27g_3^2 \neq 0$ , there exist complex numbers  $\omega_1, \omega_2$  (with non real ratio) such that

$$g_2(\omega_1, \omega_2) = a_2, \quad g_3(\omega_1, \omega_2) = a_3.$$

Proof:

Case (I)  $a_2, a_3 \neq 0$ . Choose complex  $\tau$ ,  $\text{Im} \tau > 0$  such that  $J(\tau) = \frac{a_2^3}{a_3^3} = \frac{a_2^3 - 27g_3^2}{a_3^3}$ .

Note  $J(\tau) \neq 0$  since  $a_2 \neq 0, a_3 \neq 0$ . Also  $J(\tau) \neq 1$

Now choose  $\omega_1$ :

$$\omega_1^2 = \frac{a_2}{a_3} g_3(1, \tau),$$

$$\frac{J(\tau)-1}{J(\tau)} = \frac{27a_3^2}{a_2^3}$$

$$= \frac{27a_2^2}{a_2^3 - 27g_3^2}$$

and  $\omega_1 \neq 0$ .

Let  $\omega_2 = \tau \omega_1$ .

$$J(\tau) - 1 = \frac{27g_3^2(1, \tau)}{g_2^3(1, \tau) - 27g_3^2(1, \tau)}$$

$$= \frac{27g_3^2(1, \tau)}{g_2^3(1, \tau) - 27g_3^2(1, \tau)}$$

$$\frac{g_2(\omega_1, \omega_2)}{g_3(\omega_1, \omega_2)} = \frac{g_2(\tau \omega_1, \tau \omega_2)}{g_3(\tau \omega_1, \tau \omega_2)}$$

$$= \frac{27g_3^2(1, \tau)}{g_2^3(1, \tau) - 27g_3^2(1, \tau)} \neq 0.$$

$$= \frac{\omega_1^{-4}}{\omega_1^{-2}} \frac{g_2(1, \tau)}{g_3(1, \tau)} = \omega_1^2 \frac{g_2(1, \tau)}{g_3(1, \tau)} = \frac{a_2}{a_3}$$

$$g_3(\omega_1, \omega_2) = \frac{a_3}{a_2} g_2(\omega_1, \omega_2) \quad (*)$$

where  $J$  is alg. 0

$$\begin{aligned} \frac{J(\tau)-1}{J(\tau)} &= \frac{g_3(\omega_1, \omega_2)}{g_2(\omega_1, \omega_2)} \\ &= 27 \frac{g_3^2(\omega_1, \omega_2)}{g_2^3(\omega_1, \omega_2)} = 27 \frac{(a_3/a_2)^2 g_2^2}{g_2^3} = \frac{27a_3^2}{a_2^3 g_2(\omega_1, \omega_2)} \end{aligned}$$

Do that

(19)

$$g_2(w_1, w_2) = a_2$$

And

$$g_3(w_1, w_2) = a_3$$

By (X)

EX Remaining cases  $a_2 = 0$ ,  $a_3 = 0$ .

□

Preparation of the  $J$  function can be used to prove

Picard's Theorem Every non constant entire function omits at most one value.

EX  $e^z$  omits only the value 0.