

PART 5

8. The following theorem is proved in [4] (Theorem 12, pages 95 and 96):

THEOREM 8.1 Suppose that g and h are simple automorphic functions on a group G , such that g has precisely α poles in the fundamental region of G and h has precisely β poles in the fundamental region of G . Then there is a polynomial in u and v , $P(u, v)$, such that $P(g, h) = 0$ and $\deg_u P = \beta$, $\deg_v P = \alpha$.

In our application of this theorem, q is prime and $G = \Gamma_0(q^2)$, where the subgroup $\Gamma_0(n)$ (n a non-zero integer) of the modular group is defined as the group of transformations

$$J' = \frac{aT + b}{cT + d}, \quad a, b, c, d \text{ integral, } ad - bc = 1, \quad c \equiv 0 \pmod{n}.$$

Also we choose

$$g = g(J) = \{ \eta(qJ) / \eta(J) \}^6, \quad h = h(J) = \eta(q^2J) / \eta(J),$$

where $\eta(J)$, the Dedekind modular form, is defined by

$$\eta(J) = \exp(\pi i J / 12) \cdot f(x), \quad x = \exp(2\pi i J), \quad \text{Im} J > 0,$$

and $s = s(q)$ is the least positive even integer such that

$$6 = s(q - 1) / 24$$

is integral. Clearly

$$g = x^6 f^s(y) / f^s(x), \quad h = x^\Delta f(y^q) / f(x),$$

where

$$\Delta = (q^2 - 1) / 24$$

{ and is integral since $(q, 6) = 1$ }. Now, it is shown by Newman in [9] (g and h are precisely as in this paper) that g is an entire modular function* on $\Gamma_0(q)$ {and so on $\Gamma_0(q^2)$ }, h is an entire modular function on $\Gamma_0(q^2)$. Furthermore (see [9]) g has a pole of order 6 {in the uniformising variable $z_q = \exp(-2\pi i/q\tau)$ } at the parabolic vertex $\tau = 0$ and is regular elsewhere throughout the fundamental region of $\Gamma_0(q)$, h has a pole of order Δ at $\tau = 0$ and is regular elsewhere throughout the fundamental region of $\Gamma_0(q^2)$. Since $\Gamma_0(q^2)$ is of index q in $\Gamma_0(q)$, it follows that g has precisely $q\delta$ poles in the fundamental region of $\Gamma_0(q^2)$. Thus by Theorem B.1 there is a polynomial in u and v , $P(u, v)$, such that $P(g, h) = 0$, $\deg_u P = \Delta$, $\deg_v P = q\delta$.†

From this point onwards q has the value 13. Then $s = 2$, $\delta = 1$, $\Delta = 7$, and we have shown that there is a relation

$$(8.1) \quad \sum_{\ell=0}^7 \sum_{m=0}^{13} c(\ell, m) g^\ell h^m = 0,$$

with coefficients $c(\ell, m)$, not all zero. Replacing g and h by the variables

$$A = A(\tau) = g/h^2 = \{ \eta(13\tau)/\eta(169\tau) \}^2 = y^{-1} f^2(y)/f^2(y^{13}),$$

$$b = b(\tau) = 1/h = \eta(\tau)/\eta(169\tau) = x^{-7} f(x)/f(y^{13})$$

* The term "entire modular function" is not used in [9]; it is defined by Newman in [10] (page 352).

† This result was communicated to us, with the proof, by Dr. Newman.

for convenience, we have $g = A/b^2$, $h = 1/b$, and (8.1) becomes

$$(8.2) \quad \sum_{\lambda=0}^7 \sum_{m=0}^{13} c(\lambda, m) A^\lambda b^{-2\lambda-m} = 0.$$

We now examine (for a reason which will appear shortly)

the effect of the transformation $J \rightarrow -1/169J$ on equation (8.2).

As a special case of the transformation formula (1.4) of [9]

we have

$$\eta(-1/J) = (-1J)^{\frac{1}{2}} \eta(J).$$

Whence

$$A(-1/169J) = \{ \eta(-1/13J) / \eta(-1/J) \}^2 = 13 \{ \eta(13J) / \eta(J) \}^2 = 13A/b^2,$$

$$b(-1/169J) = \eta(-1/169J) / \eta(-1/J) = 13\eta(169J) / \eta(J) = 13/b,$$

and so, replacing J by $-1/169J$ in (8.2), we obtain

$$(8.3) \quad \sum_{\lambda=0}^7 \sum_{m=0}^{13} 13^{-\lambda-m} c(\lambda, m) A^\lambda b^m = 0.*$$

Furthermore, this relation must be irreducible. We prove

this in an elementary manner as follows. Consider the more general result

$$(8.4) \quad \sum_{\lambda=0}^{\lambda} \sum_{m=0}^{\mu} d(\lambda, m) A^\lambda b^m = 0,$$

as a relation in x . We observe that $A^\lambda b^m$ begins $x^{-13\lambda-7m} + \dots$

and denote by $-t$ the overall lowest power of x in the expansions of those terms $d(\lambda, m) A^\lambda b^m$ which actually occur, i.e. for which $d(\lambda, m) \neq 0$. Then, since the left-hand side of (8.4) is

* We may note that $A(J) = 13g(-1/169J)$ and $b(J) = 13h(-1/169J)$.

Identically zero, x^{-t} must be the initial power of x in the expansions of at least two such terms. In other words there exist distinct integer pairs (k_1, m_1) and (k_2, m_2) such that

$$(8.5) \quad t = 13k_1 + 7m_1 = 13k_2 + 7m_2, \quad d(k_1, m_1), d(k_2, m_2) \neq 0,$$

$$0 \leq k_1 \leq \lambda, 0 \leq k_2 \leq \lambda, 0 \leq m_1 \leq \mu, 0 \leq m_2 \leq \mu.$$

Now $k_1 \neq k_2$ (otherwise $m_1 = m_2$ also), so that without loss of generality we may take $k_1 > k_2 (\geq 0)$ (giving $0 \leq m_1 < m_2$). But from (8.5) $k_1 \equiv k_2 \pmod{7}$. Hence $k_1 \geq 7$. Similarly $m_2 \geq 13$. Thus, since $d(k_1, m_1), d(k_2, m_2) \neq 0$, the degrees in A and B of any relation of the form (8.4) must be at least 7 and at least 13 respectively. It follows that (8.3) is irreducible, of degrees 7 and 13 in A and B . Further, taking $\lambda = 7, \mu = 13$, so that $k_1 \leq 7, m_2 \leq 13$, and remembering that, whatever the values of λ and $\mu, k_1 \geq 7, m_2 \geq 13$, we see that in the case of (8.3) $k_1 = 7$ and $m_2 = 13$; since $k_1 > k_2 \geq 0$ and $k_1 \equiv k_2 \pmod{7}$, this means that $k_2 = 0$, and similarly $m_1 = 0$, so that $t = 91$. Thus

$$c(7, 0), c(0, 13) \neq 0$$

and $c(k, m) = 0$ if $13k + 7m > 91$, i.e. $m > 13 - 13k/7$, i.e. if $m > 13 - 2k(0 \leq k < 7), m > 0 (k = 7)$.

It follows that we may rewrite (8.2) and (8.3) respectively as

$$(8.6) \quad c(7, 0)A^7b^{-14} + \sum_{\lambda=0}^6 \sum_{m=0}^{13-2\lambda} c(\lambda, m)A^\lambda b^{-2\lambda-m} = 0,$$

$$(8.7) \quad 13^{-7}c(7, 0)A^7 + \sum_{\lambda=0}^6 \sum_{m=0}^{13-2\lambda} 13^{-\lambda-m} c(\lambda, m)A^\lambda b^m = 0.$$

Multiplying (8.6) by $13^{-7}b^{14}$ and writing m' for $14-2\lambda - m$ in the summation we obtain

$$(8.8) \quad 13^{-7}c(7, 0)A^7 + \sum_{\lambda=0}^6 \sum_{m=1}^{14-2\lambda} 13^{-7}c(\lambda, 14 - 2\lambda - m)A^\lambda b^m = 0.$$

Now in each of equations (8.7) and (8.8) the highest power of A occurring is 7 {since $c(7, 0) \neq 0$ } and A^7 is present in and only in the initial term. Also, these initial terms are the same and (8.7) is irreducible. It follows, since there can be only one irreducible relation between A and b , that the left-hand sides of the equations must be identical. Hence, equating coefficients of $A^\lambda b^m$, we have

$$c(\lambda, 14 - 2\lambda - m) = 13^{7-\lambda-m} c(\lambda, m),$$

and the overlapping of the m -summation ranges means that either side of this equation must be zero whenever $m = 0$, so that in (8.7) {or (8.8)} we may take $1 \leq m \leq 13 - 2\lambda$. Thus, taking $c(0, 7) = -13^7$ (without loss of generality) and writing $d(\lambda, m)$ for $13^{-\lambda-m} c(\lambda, m)$ in (8.7), we arrive at the following.

THEOREM 8.2 Let

$$A = y^{-1}f_1(y)/f_2(y^{1/3}), \quad b = x^{-7}f(x)/f(y^{1/3}).$$

Then there is an irreducible polynomial relation

$$A^7 = \sum_{\ell=0}^6 \sum_{m=1}^{13-2\ell} d(\ell, m) A^\ell b^m$$

with integral coefficients $d(\ell, m)$ which satisfy

$$d(\ell, 14 - 2\ell - m) = 13\ell^{m-7} d(\ell, m).$$

The last equation of course follows from the corresponding result for the $c(\ell, m)$. The word "Integral" is valid as follows. We have seen that, in the polynomial relation of Theorem 8.2, if two or more of the quantities $A^\ell b^m$ have the same initial power of x , then this power must be -91 , and that x^{-91} is the initial power of x in precisely two of these quantities one of which is A^7 . In other words in the right-hand side no two $A^\ell b^m$ have the same initial power of x . Thus the $d(\ell, m)$, determined by equating the coefficients of powers of x in the expansions of each side, appear strictly serialim. Since in the expansion of any $A^\ell b^m$, including A^7 , the coefficient of the initial power of x is unity and that of any other power of x integral, it follows that every $d(\ell, m)$ must be integral.

In obtaining the values of the $d(\ell, m)$ only the 28 values such that $\ell + m \geq 7$ need to be calculated; the remainder can

then be written down. These 28 values may be obtained by comparing the coefficients of x^{-91} , x^{-90} , ... as far as x^{-49} ; 9 of these 43 powers (viz. -78, -71, -65, -64, -58, -57, -52, -51, -50) are not expressible in the form $-13\ell - 7m$ ($0 \leq \ell \leq 6$, $1 \leq m \leq 13 - 2\ell$), so that no new $d(\ell, m)$ is obtained, and 6 (viz. -72, -66, -60, -59, -54, -53) give, superfluously, $d(\ell, m)$ such that $\ell + m < 7$.*

We find that

$$\begin{aligned}
 A^7 = & A^6(11.13b) + \\
 & + A^5(36.13b^2 - 204.13b^2 + 36.13^2b) + \\
 & + A^4(38.13b^3 - 346.13b^4 + 126.13^2b^3 - 346.13^2b^2 + 38.13^3b) + \\
 & + A^3(20.13b^7 - 222.13b^8 + 102.13^2b^5 - 422.13^2b^4 + 102.13^3b^3 - \\
 & \quad - 222.13^3b^2 + 20.13^4b) + \\
 & + A^2(6.13b^9 - 74.13b^8 + 38.13^2b^7 - 184.13^2b^6 + 56.13^3b^5 - 184.13^3b^4 + \\
 & \quad + 38.13^4b^3 - 74.13^4b^2 + 6.13^5b) + \\
 & + A(13b^{11} - 13^2b^{10} + 7.13^2b^9 - 37.13^2b^8 + 13^4b^7 - 51.13^3b^6 + 13^5b^5 - \\
 & \quad - 37.13^4b^4 + 7.13^5b^3 - 13^6b^2 + 13^6b) + \\
 & + (b^{19} - 13b^{18} + 7.13b^{17} - 3.13^2b^{16} + 15.13^2b^5 - 5.13^3b^6 + 19.13^3b^7 - \\
 & \quad - 5.13^4b^8 + 15.13^4b^9 - 3.13^5b^4 + 7.13^5b^3 - 13^6b^2 + 13^6b),
 \end{aligned}
 \tag{8.9}$$

It turns out then that the $d(\ell, m)$ are all non-zero and

that they contain powers of 13 which could not have been anticipated from Theorem 8.2.

* In actual fact we examined the coefficients of sufficient of x^{-91} , x^{-90} , ..., x^{-49} , and of x^{-49} , to enable us to find each of and to make 12 independent checks on the 28 values.

We observe, finally, that while the above result is new, the relation between A and B obtainable by "squaring" (8.9) is given, in effect, by Lehner in [8] (pages 376 and 379).