## Updated q-product tutorial for a q-series maple package

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using Maple V (quite an old version of MAPLE).

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## 1. Introduction.

In the study of q-series one is quite often interested in identifying generating functions as infinite products. The classic example is the Rogers-Ramanujan identity:

$$\sum_{n=0}^{\infty} \frac{q^{n^2}}{(q,q)_n} = \prod_{n=1}^{\infty} \frac{1}{(1-q^{5n-1})(1-q^{5n-4})}$$

Here we have used the notation in (2.2). It can be shown that the left-side of this identity is the generating function for partitions whose parts differ by at least two. The identity is equivalent to saying the number of such partitions of *n* is equinumerous with partitions of *n* into parts congruent to  $\pm 1 \pmod{5}$ .

The main goals of the package are to provide facility for handling the following problems.

1. Conversion of a given *q*-series into an *infinite* product.

2. Factorization of a given rational function into a finite q-product if one exists.

 $\begin{bmatrix} 3. & Find algebraic relations (if they exist) among the q-series in a given list. \end{bmatrix}$ 

A q-product has the form

$$\prod_{n=1}^{N} \left(1 - q^{j}\right)^{b_{j}} \tag{1.1}$$

where  $b_i$  are integers.

In [4, section 10.7], George Andrews also considered Problems 1 and 2, and asked for an easily accessible implementation. We provide implementations as well as considering factorisations into theta-products and eta-products. The package provides some basic functions for computing q-series expansions of eta functions, theta functions, Gaussian polynomials and q-products. It also has a function for sifting out coefficients of a q-series. It also has the basic infinite product identity, the quintuple product identity and Winquist's identity.

### 1.1 Installation instructions.

The **qseries** package can be downloadedvia the WWW. First use your favorite browser to access the URL: <u>https://qseries.org/fgarvan/qmaple/qseries/index.html</u>

Then you can find directions for installing the package.

# 2. Basic functions

We describe the basic functions in the package which are used to build *q*-series.

#### 2.1. Finite q-products

#### 2.1.1. Rising q-factorial

**aqprod(a,q,n)** returns the product

$$(a;q)_{n} = (1-a)(1-aq)(1-aq^{2})\cdots(1-aq^{n-1})$$
(1.2)

We also use the notation

$$(a;q)_{\infty} = \prod_{n=1}^{\infty} (1 - aq^{n-1}).$$

#### 2.1.2 Gaussian polynomials

When  $0 \le m \le n$ , **qbin (q,m,n)** returns the Gaussian polynomial (or *q*-binomial coefficient)

$$\begin{bmatrix} n \\ m \end{bmatrix} = \frac{(q)_n}{(q)_m(q)_{n-m}}$$

\_otherwise it returns 0.

## **2.2 Infinite products 2.2.1 Dedekind eta products**

Suppose  $\Im(\tau) > 0$ , and  $q = \exp(2\pi i \tau)$ . The Dedekind eta function [27, p.121] is defined by

$$\eta(\tau) = \exp\left(\frac{\pi i \tau}{12}\right) \prod_{n=1}^{\infty} (1 - \exp(2\pi i n \tau)) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n).$$

**etaq(q, k, T)** returns the q-series expansion (up to  $q^T$ ) of the eta product

$$\prod_{n=1}^{\infty} (1-q^{kn}).$$

This corresponds to the eta function  $\eta(k\tau)$  except for a power of q. Eta products occur frequently in the study of q-series. For example, the generating function for p(n), the number of partitions of n, can be written as

$$\sum_{n=0}^{\infty} p(n) q^{n} = \prod_{n=1}^{\infty} \frac{1}{(1-q^{n})}.$$

See [1, pp. 3-4]. The generating function for the number of partitions of *n* that are *p*-cores [19],  $a_p(n)$ , can be written

$$\sum_{n=0}^{\infty} a_p(n) q^n = \prod_{n=1}^{\infty} \frac{\left(1 - q^{pn}\right)^p}{\left(1 - q^n\right)}$$

Granville and Ono [21] proved a long-standing conjecture in group representation theory using elementary and function-theoretic properties of the eta product above.

#### 2.2.2. Theta functions

Jacobi [24, Vol I, pp. 497--538] defined four theta functions  $\theta_i(z, q)$ , *i*=1,2,3,4.

See also [41, Ch. XXI]. Each theta function can be written in terms of the others using a simple change of variables. For this reason, it is common to define

$$\Theta(z,q) \coloneqq \sum_{n=-\infty}^{\infty} z^n q^{n^2}$$

\_theta (z,q,T) returns the truncated theta-series

$$\sum_{n=-T}^{T} z^n q^{n^2}.$$

The case z = 1 of Jacobi's theta functions occurs quite frequently. We define

$$\begin{split} \theta_2(q) &\coloneqq \sum_{n = -\infty}^{\infty} q^{\left(n + \frac{1}{2}\right)^2}, \\ \theta_3(q) &\coloneqq \sum_{n = -\infty}^{\infty} q^{n^2}, \\ \theta_4(q) &\coloneqq \sum_{n = -\infty}^{\infty} (-1)^n q^{n^2}. \end{split}$$

**theta2(q,T)**, **theta3(q,T)**, **theta4(q,T)** (resp.) returns the *q*-series expansion to order  $O(q^T)$  of  $\theta_2(q), \theta_3(q)\theta_4(q)$ , respectively.

Let *a*, and *b* be positive integers and suppose |q| < 1. Infinite products of the form

$$(q^a;q^b)_{\infty}(q^{b-a};q^b)_{\infty}$$

occur quite frequently in the theory of partitions and *q*-series. For example the right side of the Rogers-Ramanujan identity is the reciprocal of the product with (a, b) = (1, 5). In (3.4) we will see how the function **jacprodmake** can be used to identify such products.

# 3. Product Conversion.

In [1, p. 233], [3, section 10.7] there is a very nice and useful algorithm for converting a q-series into an infinite product. Any given q-series may be written formally as an infinite product

$$1 + \sum_{n=1}^{\infty} b_n q^n = \prod_{n=1}^{\infty} (1 - q^n)^{-a_n}.$$

Here we assume the  $b_n$  are integers. By taking the logarithmic derivative of both sides we can obtain the recurrence

$$n b_n = \sum_{j=1}^n b_n - j \sum_{d|n} d a_d.$$

Letting  $a_n = 1$  we obtain the well-known special case

$$n p(n) = \sum_{j=1}^{n} p(n-j) \sigma(j).$$

We can also easily construct a recurrence for the  $a_n$  from the recurrence above.

The function **prodmake** is an implementation of Andrews' algorithm. Other related functions are **etamake** and **jacprodmake**.

### 3.1 prodmake

**prodmake (f,q,T)** converts the q-series f into an infinite product that agrees with f to  $q^{T}$ . Let's take a look at the left side of the Rogers-Ramanujan identity.

$$\begin{bmatrix} > \text{ with (qseries):} \\ > \text{ x:=add (q^ (n^2) / aqprod (q, q, n), n=0..8):} \\ > \text{ series (x, q, 50);} \\ 1 + q + q^2 + q^3 + 2q^4 + 2q^5 + 3q^6 + 3q^7 + 4q^8 + 5q^9 + 6q^{10} + 7q^{11} + 9q^{12} + 10q^{13} \\ + 12q^{14} + 14q^{15} + 17q^{16} + 19q^{17} + 23q^{18} + 26q^{19} + 31q^{20} + 35q^{21} + 41q^{22} \\ + 46q^{23} + 54q^{24} + 61q^{25} + 70q^{26} + 79q^{27} + 91q^{28} + 102q^{29} + 117q^{30} + 131q^{31} \\ + 149q^{32} + 167q^{33} + 189q^{34} + 211q^{35} + 239q^{36} + 266q^{37} + 299q^{38} + 333q^{39} \\ + 374q^{40} + 415q^{41} + 465q^{42} + 515q^{43} + 575q^{44} + 637q^{45} + 709q^{46} + 783q^{47} \\ + 871q^{48} + 961q^{49} + O(q^{50}) \\ \hline \text{ prodmake (x, q, 40);} \\ 1/((1 - q)(-q^4 + 1)(-q^6 + 1)(-q^9 + 1)(-q^{11} + 1)(-q^{14} + 1)(-q^{16} + 1)(-q^{19} \\ + 1)(-q^{21} + 1)(-q^{24} + 1)(-q^{26} + 1)(-q^{29} + 1)(-q^{31} + 1)(-q^{34} + 1)(-q^{36} \\ + 1)(-q^{39} + 1)) \\ \hline \text{We have rediscovered the right side of the Rogers-Ramanujan identity!}$$

**Exercise 1**. Find (and prove) a product form for the *q*-series



The identity you find is originally due to Rogers [34, p.330]. See also Andrews [2, pp.38--39] for a list of

some related papers.

## 3.2 qfactor

The function **qfactor** is a version of **prodmake**.

**qfactor** (f, T) attempts to write a rational function f in q as a q-product, ,ie., as a product of terms of the

form  $(1-q^i)$ . The second argument **T** is optional. It specifies an an upper bound for the exponents of q that

can occur in the product. If **T** is not specified it is given a default value of 4d+3 where d is the maximum of the degree in q of the numerator and denominator. The algorithm is quite simple. First the function is factored as usual,

and then it uses **prodmake** to do further factorisation into *q*-products. Thus even if only part of the function can be written as a q-product **qfactor** is able to find it.

As an example we consider some rational functions T(r, h) introduced by Andrews [4, p.14] to explain

Rogers's [34] first proof of the Rogers-Ramanujan identities. The T(r, n) are defined recursively as follows:

```
T(r, 0) = 1.
(3.3)
(3.4) \quad T(r, 1) = 0,
(3.5) T(r, N) = -\sum_{\substack{1 \le 2j \le N}} \begin{bmatrix} r+2j \\ j \end{bmatrix} T(r+2j, N-2j).
   with (qseries) :
T:=proc(r,j)
          option remember;
          local x,k;
          x := 0;
          if j=0 or j=1 then
             RETURN ((j-1)^{2}) :
          else
               for k from 1 to floor(\frac{1}{2}) do
                     x:=x-qbin(q,k,r+2*k)*T(r+2*k,j-2*k);
                od:
                RETURN (expand(x));
          fi:
     end:
        t8:=T(8,8);
t8 := q^{42} + q^{41} + 2 q^{40} + 3 q^{39} + 5 q^{38} + 6 q^{37} + 9 q^{36} + 11 q^{35} + 15 q^{34} + 17 q^{33} + 21 q^{32}
                                                                                                         (3)
     + 23 q^{31} + 28 q^{30} + 29 q^{29} + 33 q^{28} + 34 q^{27} + 37 q^{26} + 36 q^{25} + 38 q^{24} + 36 q^{23}
```

$$\begin{array}{c} + 37 \, q^{22} + 34 \, q^{21} + 33 \, q^{20} + 29 \, q^{19} + 28 \, q^{18} + 23 \, q^{17} + 21 \, q^{16} + 17 \, q^{15} + 15 \, q^{14} \\ + 11 \, q^{13} + 9 \, q^{12} + 6 \, q^{11} + 5 \, q^{10} + 3 \, q^9 + 2 \, q^8 + q^7 + q^6 \\ \hline \text{factor(t8);} \\ q^6 \left(q^4 + q^3 + q^2 + q + 1\right) \left(q^4 - q^3 + q^2 - q + 1\right) \left(q^{10} + q^9 + q^8 + q^7 + q^6 + q^5 + q^4 + q^3 \\ + q^2 + q + 1\right) \left(q^4 + 1\right) \left(q^6 + q^3 + 1\right) \left(q^8 + 1\right) \\ \hline \text{gfactor(t8,20);} \\ \frac{q^6 \left(-q^{10} + 1\right) \left(-q^{11} + 1\right) \left(-q^9 + 1\right) \left(-q^{16} + 1\right)}{\left(1 - q\right) \left(-q^2 + 1\right) \left(-q^4 + 1\right) \left(-q^3 + 1\right)} \end{array}$$

Observe how we used **factor** to factor **t8** into cyclotomic polynomials. However, **qfactor** was able to factor **t8** as a q-product.

We see that

$$T(8,8) = \frac{(q^9;q)_3(1-q^{16})q^6}{(q;q)_4}.$$

**EXERCISE 2.** Use **qfactor** to factorize T(r,n) for different values of r and n. Then write T(r,n) (defined above) as a q-product for general r and n.

For our next example we examine the sum  

$$\sum_{k=-\infty}^{\infty} (-1)^{k} q^{\frac{k(3k+1)}{2}} \begin{bmatrix} b+c\\c+k \end{bmatrix} \begin{bmatrix} c+a\\a+k \end{bmatrix} \begin{bmatrix} a+b\\b+k \end{bmatrix}.$$

> dixson:=proc(a,b,c,q)  
> local x,k,y;  
> x:=0: y:=min(a,b,c):  
> for k from -y to y do  
> x:=x+(-1)^(k)\*q^(k\*(3\*k+1)/2)\*  
> qbin(q,c+k,b+c)\*qbin(q,a+k,c+a)\*qbin(q,b+k,a+b);  
> od:  
> RETURN(x):  
> end:  
> dx := expand(dixson(5,5,5,q)):  
> qfactor(dx,20);  
$$((-q^{10}+1)(-q^7+1)(-q^{14}+1)(-q^{11}+1)(-q^6+1)(-q^{12}+1)(-q^{15}+1)(-q^{13})(-q^{13})(-q^{13})(-q^{13}+1)(-q^{14}+1)(-q^{2}+1)(-q^{2}+1)^{2}(-q^{4}+1)^{2}(-q^{3}+1)^{2})$$
  
We find that

L we find that

$$\sum_{k=-\infty}^{\infty} (-1)^{k} q^{\frac{k(3k+1)}{2}} \left[ \begin{array}{c} 10\\ 5+k \end{array} \right]^{3} = \frac{\left(q^{6}; q\right)_{10}}{\left(q; q\right)_{5}^{2}}.$$

EXERCISE 3. Write the sum

$$\sum_{k=-\infty}^{\infty} (-1)^{k} q^{\frac{k(3k+1)}{2}} \begin{bmatrix} 2a\\a+k \end{bmatrix}^{3}$$

as a q-product for general integral a. The identity you obtain is a special case of [4, Eq.(4.24), p.38].

# 3.3 etamake

Recall from (2.2.1) that **etaq** is the function to use for computing *q*-expansions of eta products. If one wants to apply the theory of modular forms to *q*-series it is quite useful to determine whether a given *q*-series is a product of eta functions. The function in the package for doing this conversion is **etamake**.

**etamake** (f,q,T) will write the given q-series f as a product of eta functions which agrees with f up to  $q^{T}$ . As an example, let's see how we can write the theta functions

as era products.  
> t2:=theta2(q,100)/q^(1/4);  

$$t2 := q^{156} + 2 q^{132} + 2 q^{110} + 2 q^{90} + 2 q^{72} + 2 q^{56} + 2 q^{42} + 2 q^{30} + 2 q^{20} + 2 q^{12} + 2 q^{6}$$
 (7)  
 $+ 2 q^{2} + 2$ 

$$\frac{2\eta(4\tau)^2}{q^{1/4}\eta(2\tau)}$$
(8)

> t3:=theta3(q,100);  

$$t3 := 2q^{121} + 2q^{100} + 2q^{81} + 2q^{64} + 2q^{49} + 2q^{36} + 2q^{25} + 2q^{16} + 2q^9 + 2q^4 + 2q$$
 (9)  
+1

> etamake(t3,q,100);

$$\frac{\eta(2\tau)^5}{\eta(4\tau)^2\eta(\tau)^2}$$
(10)

> 
$$t4:=theta4(q,100);$$
  
 $t4:=-2q^{121}+2q^{100}-2q^{81}+2q^{64}-2q^{49}+2q^{36}-2q^{25}+2q^{16}-2q^{9}+2q^{4}-2q$  (11)  
+1  
>  $etamake(t4,q,100);$ 

$$\frac{\eta(\tau)^2}{\eta(2\tau)}$$
(12)

We are led to the well-known identities:

$$\begin{split} \theta_2(q) &\coloneqq \frac{2 \eta (4 \tau)^2}{\eta (2 \tau)}, \\ \theta_3(q) &\coloneqq \frac{\eta (2 \tau)^5}{\eta (4 \tau)^2 \eta (\tau)^2}, \\ \theta_4(q) &\coloneqq \frac{\eta (\tau)^2}{\eta (2 \tau)}. \end{split}$$

The idea of the algorithm is quite simple. Given a q-series f (say with leading coefficient 1) one just keeps recursively

multiplying by powers of the right eta function until the desired terms agree. For example, suppose we are given a

q-series

 $1 + c q^k + \cdots$ 

Then the next step is to multiply by  $etaq(q, k, T)^{(-c)}$ .

**EXERCISE 4.** Define the *q*-series

$$a(q) := \sum_{n = -\infty}^{\infty} \sum_{m = -\infty}^{\infty} q^{n^2 + nm + m^2},$$
  

$$b(q) := \sum_{n = -\infty}^{\infty} \sum_{m = -\infty}^{\infty} \omega^{n - m} q^{n^2 + nm + m^2},$$
  

$$c(q) := \sum_{n = -\infty}^{\infty} \sum_{m = -\infty}^{\infty} q^{\left(\left(n + \frac{1}{3}\right)^2 + \left(n + \frac{1}{3}\right)\left(m + \frac{1}{3}\right) + \left(m + \frac{1}{3}\right)^2\right)}.$$

where  $\omega = \exp\left(\frac{2\pi i}{3}\right)$ . Two of the three functions above can be written as eta products. Can you find them? *Hint*: It would be wise to define > omega :=RootOf (z^2+z+1=0) ; See [12] for the answer and much more.

### 3.4 jacprodmake

In (2.2.2) we observed that the right side of the Rogers-Ramanujan identity could be written in terms of a Jacobi product. The function **jacprodmake** converts a q-series into a Jacobi-type product if one exists. Given a q-series f, **jacprodmake** (f, q, T) attempts to convert f into a product of theta functions that agrees with f to order  $O(q^T)$ . Each theta-function has the form **JAC**(a, b, infinity), where a, b are integers and  $0 \le a < b$ . If 0 < a, then **JAC**(a, b, infinity)

corresponds to the theta-product

$$(q^a;q^b)_{\infty}(q^{b-a};q^b)_{\infty}(q^b;q^b)$$

We call this a theta product because it is  $\theta\left(-\frac{(b-2a)}{2}, \frac{b}{2}\right)$ . The **jacprodmake** function is really a variant of **prodmake**.

The jacprodmake function is really a variant of **prodmake**. It involves using **prodmake** to compute the sequence of exponents and then searching for periodicity.

If a = 0, then **JAC(0,b,infinity)** corresponds to the eta-product  $(q^b; q^b)_{\infty}$ .

We note that this product can also be thought of as a theta-product since can be written

$$(q^{b};q^{b})_{\infty} = (q^{b};q^{3b})_{\infty}(q^{2b};q^{3b})_{\infty}(q^{3b};q^{3b})_{\infty}.$$

Let's re-examine the Rogers-Ramanujan identity.

> with (qseries):  
> x:=1:  
> for n from 1 to 8 do  
> x:=x+q^ (n\*n)/aqprod(q,q,n):  
> od:  
> x:=series(x,q,50):  
> y:=jacprodmake(x,q,40);  
y:= 
$$\frac{JAC(0,5,\infty)}{JAC(1,5,\infty)}$$
(13)  
> z:=jac2prod(y);  
(14)

$$z := \frac{1}{\left( \frac{1}{2}, q^5 \right)_{\infty} \left( \frac{1}{2}, q^5 \right)_{\infty}}$$
(14)

<u></u>

Note that we were able to observe that the left side of the Rogers-Ramanujan identity (at least up through  $q^{40}$ ) can be written as a quotient of theta functions. We used the function jac2prod, to simplify the result and get it into a more recognizable form. The function jac2prod(jacexpr) converts a product of theta functions into q-product form; ie., as a product of functions of the form  $(q^a; q^b)_{\infty}$ .

Here **jacexpr** is a product (or quotient) of terms **JAC**(i,j,infinity), where *i*, *j* are integers and  $0 \le i < j$ .

```
A related function is jac2series. This converts a Jacobi-type product into a form better for computing its q-series. It simply replaces each Jacobi-type product with its corresponding _theta-series.
```

```
> with(qseries):
> x:=0:
> for n from 0 to 10 do
```

> x := x + q<sup>x</sup> (n\* (n+1)/2) \*aqprod (-q, q, n)/aqprod (q, q, 2\*n+1) :  
od:  
> x:=series (x, q, 50) :  
> jp:=jacprodmake (x, q, 50) ;  
jp:=JAC(0, 14, 
$$\infty$$
)<sup>13/2</sup>/(JAC(1, 14,  $\infty$ )<sup>2</sup>JAC(3, 14,  $\infty$ )JAC(4, 14,  $\infty$ )JAC(5, 14, (15)  
 $\infty$ )JAC(6, 14,  $\infty$ )  $\sqrt{JAC(7, 14, \infty)}$ )  
> jac2series (jp, 500) ;  
(-q<sup>490</sup> + q<sup>364</sup> + q<sup>308</sup> - q<sup>210</sup> - q<sup>168</sup> + q<sup>98</sup> + q<sup>70</sup> - q<sup>28</sup> - q<sup>14</sup> + 1)<sup>13/2</sup>/((-q<sup>621</sup> - q<sup>513</sup>) (16)  
+ q<sup>496</sup> + q<sup>400</sup> - q<sup>385</sup> - q<sup>301</sup> + q<sup>288</sup> + q<sup>216</sup> - q<sup>205</sup> - q<sup>145</sup> + q<sup>136</sup> + q<sup>88</sup> - q<sup>81</sup> - q<sup>45</sup>  
+ q<sup>40</sup> + q<sup>16</sup> - q<sup>13</sup> - q + 1)<sup>2</sup> (-q<sup>603</sup> - q<sup>531</sup> + q<sup>480</sup> + q<sup>416</sup> - q<sup>371</sup> - q<sup>315</sup> + q<sup>276</sup> + q<sup>228</sup>)  
- q<sup>195</sup> - q<sup>155</sup> + q<sup>128</sup> + q<sup>96</sup> - q<sup>75</sup> - q<sup>51</sup> + q<sup>36</sup> + q<sup>20</sup> - q<sup>11</sup> - q<sup>3</sup> + 1) (-q<sup>594</sup> - q<sup>540</sup>)  
+ q<sup>472</sup> + q<sup>424</sup> - q<sup>364</sup> - q<sup>322</sup> + q<sup>270</sup> + q<sup>234</sup> - q<sup>190</sup> - q<sup>160</sup> + q<sup>124</sup> + q<sup>100</sup> - q<sup>72</sup> - q<sup>54</sup>)  
+ q<sup>34</sup> + q<sup>22</sup> - q<sup>10</sup> - q<sup>4</sup> + 1) (-q<sup>585</sup> - q<sup>549</sup> + q<sup>464</sup> + q<sup>432</sup> - q<sup>357</sup> - q<sup>329</sup> + q<sup>264</sup> + q<sup>240</sup>)  
- q<sup>185</sup> - q<sup>165</sup> + q<sup>120</sup> + q<sup>104</sup> - q<sup>69</sup> - q<sup>57</sup> + q<sup>32</sup> + q<sup>24</sup> - q<sup>9</sup> - q<sup>5</sup> + 1) (-q<sup>576</sup> - q<sup>558</sup>)  
+ q<sup>456</sup> + q<sup>440</sup> - q<sup>350</sup> - q<sup>336</sup> + q<sup>258</sup> + q<sup>246</sup> - q<sup>180</sup> - q<sup>170</sup> + q<sup>116</sup> + q<sup>108</sup> - q<sup>66</sup> - q<sup>60</sup>)  
+ q<sup>30</sup> + q<sup>26</sup> - q<sup>8</sup> - q<sup>6</sup> + 1)  
 $\sqrt{-2 q^{567} + 2 q^{448} - 2 q^{343} + 2 q^{252} - 2 q^{175} + 2 q^{112} - 2 q^{63} + 2 q^{28} - 2 q7 + 1)$ 

It seems that the *q*-series

$$\sum_{n=0}^{\infty} \frac{q^{\frac{n(n+1)}{2}}(-q;q)_{n}}{(q,q)_{2n+1}}$$

can be written as Jacobi-type product. Assuming that this is the case we used **jac2series** to write this *q*-series in terms of theta-series at least up to  $q^{500}$ . This should provide an efficient method for computing the *q*-series expansion and also for computing the function at particular values of *q*.

**EXERCISE 5.** Use jacprodmake and jac2series to compute the *q*-series expansion of
$$\sum_{n=0}^{\infty} \frac{q \qquad (-q;q)}{(q,q)_{2n+1}}$$

up to  $q^{1000}$ , assuming it is Jacobi-type product. Can you identify the infinite product? This function occurs in Slater's list [36, Eq.(46), p.156].

# 4. The Search for Relations

## 4.1 findhom

If the *q*-series one is concerned with are modular forms of a particular weight, then theoretically these functions will satisfy homogeneous polynomial relations. See [18, p. 263], for more details and examples.

The function findhom (L,q,n,topshift) returns a set of potential homogeneous relations of order *n* among the *q*-series in the list L. The value of **topshift** is usually taken to be zero. However if it appears that spurious relations are being generated then a higher value of **topshift** should be taken.

The idea is to convert this into a linear algebra problem. This program generates a list of monomials of

degree *n* of the functions in the given list of *q*-series L. The *q*-expansion (up to a certain point) of each monomial is found and converted into a row vector of a matrix. The set of relations is then found by computing the kernel of the transpose of this matrix. As an example, we now consider relations between the theta functions  $\theta_3(q)$ ,  $\theta_4(q)$ ,  $\theta_3(q^2)$ , and  $\theta_3(q^2)$ .

> with(qseries):
> findhom([theta3(q,100),theta4(q,100),theta3(q^2,100),theta4(q^2,
100)],q,1,0);

{Ø} (17)
> findhom([theta3(q,100),theta4(q,100),theta3(q^2,100), theta4(q^2,
100)],q,2,0);

$$\left\{-X_1 X_2 + X_4^2, X_1^2 + X_2^2 - 2 X_3^2\right\}$$
(18)

From the session above we see that there is no linear relation between the functions  $\theta_3(q)$ ,  $\theta_4(q)$ ,  $\theta_3(q^2)$ , and  $\theta_3(q^2)$ . However, it appears that there are two quadratic relations:

$$\theta_{3}(q^{2}) = \sqrt{\frac{\theta_{3}(q)^{2} + \theta_{4}(q)^{2}}{2}},$$

and

$$\theta_4(q^2) = \sqrt{\theta_3(q)^2 \theta_4(q)^2}.$$

This is Gauss' parametrization of the arithmetic-geometric mean iteration. See [13, Ch 2] for details.

#### EXERCISE 6.

Define a(q), b(q), c(q) as in Exercise 2.

Find homogeneous relations between the functions  $a(q), b(q), c(q), a(q^3), b(q^3), c(q^3)$ .

In particular, try to get  $a(q^3), b(q^3)$  and in terms of a(q), b(q). See [12] for more details. These results lead to a cubic analog of the AGM due to Jon and Peter Borwein [10], [11].

#### 4.2 findhomcombo

The function findhomcombo is a variant of findhom. Suppose f is a q-series and L is a list of q-series. findhomcombo (f,L,q,n,topshift,etaoption) tries to express f as a homogeneous polynomial in the members of L.

If **etaoption=yes** then each monomial in the combination is *converted* into an eta-product using **etamake**.

We illustrate this function with certain Eisenstein series. For *p* an odd prime define

 $\chi(m) = \left(\frac{m}{p}\right) \qquad \text{(the Legendre symbol).}$ Suppose k is an integer,  $k \ge 2$ , and  $\frac{(p-1)}{2} \equiv k \pmod{2}$ .

Define the Eisenstein series

$$U_{p,k}(q) := \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} \chi(m) n^{k-1} q^{mn}.$$

Then  $U_{p,k}(q)$  is a modular form of weight *k* and character  $\chi$  for the congruence subgroup  $\Gamma_0(p)$ . See [28], [20] for more details. The classical result is the following identity found by Ramanujan [32, Eq. (1.52), p. 354]:

$$U_{5,2} = \frac{\eta(5\tau)^5}{\eta(\tau)}.$$

Kolberg [28] has found many relations between such Eisenstein series and certain eta products. The eta function  $\eta(\tau)$  is a modular form of weight [27, p.121]. Hence the modular forms

$$B_1 \coloneqq \frac{\eta(5\tau)^5}{\eta(\tau)}, \quad B_2 \coloneqq \frac{\eta(\tau)^5}{\eta(5\tau)}$$

are modular forms of weight 2. In fact, it can be shown that they are modular forms on  $\Gamma_0(p)$  with character  $\left(\frac{\cdot}{5}\right)$ . We might therefore expect that  $U_{5, 6}$  can be written as a homogeneous cubic polynomial in  $B_1$  and  $B_2$ . We write a short maple program to compute the Eisenstein series.

$$\begin{cases} \Rightarrow \text{ with (numtheory):} \\ & \text{UE:=proc}(q,k,p,trunk) \\ & \text{local } x,m,n; \\ & x:=0; \\ & \text{for m from 1 to trunk/m do} \\ & & \text{for n from 1 to trunk/m do} \\ & & x:=x + \text{legendre}(m,p)*n^{(k-1)*q^{(m*n):}} \\ & \text{od:} \\ & \text{od:} \\ & \text{end:} \\ & \text{The function UE}(q,k,p,trunk) \text{ returns the q-expansion of up through .} \\ & \text{We note that legendre}(m,p) \text{ returns the Legendre symbol}\left(\frac{m}{p}\right). \\ & \text{We are now ready to study.} \\ & \text{with (qseries):} \\ & \text{f := UE}(q,6,5,50): \\ & \text{B1 := etaq}(q,1,50)^{5/etaq}(q,5,50): \\ & \text{B2 := q*etaq}(q,5,50)^{5/etaq}(q,1,50): \\ & \text{ findhomcombo}(f, [B1,B2],q,3,0,yes); \\ & \left\{\eta(5\tau)^{3}\eta(\tau)^{9} + 40\eta(5\tau)^{9}\eta(\tau)^{3} + \frac{335\eta(5\tau)^{15}}{\eta(\tau)^{3}}\right\} \\ & \left\{X_{1}^{2}X_{2} + 40X_{1}X_{2}^{2} + 335X_{2}^{3}\right\} \end{aligned}$$
(19)

our appear th

$$U_{5,6} = \eta (5\tau)^{3} \eta (\tau)^{9} + 40 \eta (5\tau)^{9} \eta (\tau)^{3} + \frac{335 \eta (5\tau)^{15}}{\eta (\tau)^{3}}$$

The proof is a straightforward exercise using the theory of modular forms.

#### EXERCISE 7.

\_Define the following eta products:

$$C_1 \coloneqq \frac{\eta(7\tau)^{\gamma}}{\eta(\tau)}, \quad C_2 \coloneqq \eta(\tau)^3 \eta(7\tau)^3, \quad C_3 \coloneqq \frac{\eta(\tau)^{\gamma}}{\eta(7\tau)}$$

What is the weight of these modular forms? Write  $U_{7,3}$  in terms of  $C_1, C_2, C_3$ . The identity that you should find was originally due to Ramanujan. Also see Fine [15, p. 159] and [19, Eq. (5.4)].

If you are ambitious find  $U_{7,9}$  in terms of  $C_1, C_2, C_3$ .

### 4.3 findnonhom

In section 4.1 we introduced the function **findhom** to find homogeneous relations between q-series. The nonhomogeneous analog is **findnonhom**.

The syntax of **findnonhom** is the same as **findhom**.

Typically (but not necessarily) **findhom** is used to find relations between modular forms of a certain weight. To find relations between modular functions we would use **findnonhom**. We consider an example involving theta functions.

> with (qseries):  
> F := q -> theta3 (q,500) / theta3 (q^5,100):  
> U := 2\*q\*theta (q^10, q^25, 5) / theta3 (q^25,20);  
$$U := \frac{2 q \left(q^{675} + q^{575} + q^{440} + q^{360} + q^{255} + q^{195} + q^{120} + q^{80} + q^{35} + q^{15} + 1\right)}{2 q^{625} + 2 q^{400} + 2 q^{225} + 2 q^{100} + 2 q^{25} + 1}$$
(20)  
> EQNS := findnonhom([F(q), F(q^5), U], q, 3, 20);  
# of terms, 61  
-----RELATIONS----of order---, 3  
EQNS :=  $\left\{-X_1 X_2 X_3 + X_2^2 + X_3^2 + X_3 - 1\right\}$ (21)  
> ANS := EQNS[1];  
ANS :=  $-X_1 X_2 X_3 + X_2^2 + X_3^2 + X_3 - 1$ (22)  
> CHECK := subs({X[1]=F(q), X[2]=F(q^5), X[3]=U}, ANS):  
> series(CHECK, q, 500);  
We define

$$F(q) := \frac{\theta_3(q)}{\theta_3(q^5)}$$

\_and

$$U(q) := \frac{\sum_{n=-\infty}^{\infty} q^{25n^2 + 10n + 1}}{\theta_3(q^{25})}.$$

We note that U(q) and F(q) are modular functions since they are ratios of theta series. From the session above we see that it appears that

$$1 + F(q) F(q^5) U(q) = F(q^5)^2 + U(q)^2 + U(q).$$

Observe how we were able to verify this equation to high order. When **findnonhom** returns a set of relations the variable X has been declared *global*. This is so we can manipulate the relations. It this way we were able to assign **ANS** to the relation found and then use **subs** and **series** to check it to order  $O(q^{500})$ .

#### 4.4 findnonhomcombo

The syntax of **findnonhomcombo** is the same as **findhomcombo**. We consider an example involving eta functions. First we define

$$\xi := \frac{\eta(49\,\tau)}{\eta(\tau)}$$

and

T :=	( <u>η(</u> 7τ)	_)4
	$\int \eta(\tau)$	) .

Using the theory of modular functions it can be shown that one must be able to write  $T^2$  in terms of T and powers of  $\xi$ . We now use **findnonhomcombo** to get  $T^2$  in terms of T and  $\xi$ . **with (gseries)**:

> xi:=series(q^2\*etaq(q,49,100)/etaq(q,1,100),q,101): > T:=series(q\*(etaq(q,7,100)/etaq(q,1,100))^4,q,101): > findnonhomcombo(T^2,[T,xi],q,[1,7],0,no);

# of terms , 37

*matrix is*, 17, *x*, 37

-----possible linear combinations of degree-----, n

$$\left[343 X_{2}^{\prime}+343 X_{2}^{6}+147 X_{2}^{5}+49 X_{1} X_{2}^{3}+49 X_{2}^{4}+35 X_{1} X_{2}^{2}+21 X_{2}^{3}+7 X_{1} X_{2}+7 X_{2}^{2}+X_{2}\right]$$
(24)

$$\left(49 X_{2}^{3}+35 X_{2}^{2}+7 X_{2}\right) X_{1}+343 X_{2}^{7}+343 X_{2}^{6}+147 X_{2}^{5}+49 X_{2}^{4}+21 X_{2}^{3}+7 X_{2}^{2}+X_{2}$$
(25)

\_Then it seems that

$$T^{2} = (49\xi^{3} + 35\xi^{2} + 7\xi) T + 343\xi^{7} + 343\xi^{6} + 147\xi^{5} + 49\xi^{4} + 21\xi^{3} + 7\xi^{2} + \xi.$$

This is the modular equation used by Watson [41] to prove Ramanujan's partition congruences for powers of 7. Also see [5] and [26], and see [16] for an elementary treatment.

EXERCISE 8. Define

$$\xi \coloneqq \frac{\eta(25\tau)}{\eta(\tau)}$$

\_and

$$T := \left(\frac{\eta(5\tau)}{\eta(\tau)}\right)^6.$$

Use **findnonhomcombo** to express T as a polynomial in  $\xi$  of degree 5. The modular equation you find was used by Watson to prove Ramanujan's partition congruences for powers of 5. See [23] for an elementary treatment.

**EXERCISE 9.** Define a(q) and c(q) as in **Exercise 2**. Define

$$x(q) \coloneqq \frac{c(q)^3}{a(q)^3},$$

and the classical Eisenstein series (usually called  $E_6$ ; see [35, p. 93])

$$N(q) := 1 - 504 \sum_{n=1}^{\infty} \frac{n^5 q^n}{1 - q^n}.$$

Use **findnonhomcombo** to express N(q) in terms of a(q) and x(q). *HINT*: N(q) is a modular form of weight 6 and a(q) and c(q) are modular forms of weight 1. See [8] for this result and many others.

### 4.5 findpoly

The function **findpoly** is used to find a polynomial relation between two given *q*-series with degrees \_specified.

#### findpoly(x,y,q,deg1,deg2,check)

returns a possible polynomial in X, Y (with corresponding degrees deg1, deg2) which is satisfied by the q-series x and y.

If **check** is assigned then the relation is checked to  $O(q^{check})$ .

We illustrate this function with an example involving theta functions and the function a(q) and c(q) encountered in **Exercises 2** and 7. It can be shown that

$$a(q) = \theta_3(q)\theta_3(q^3) + \theta_2(q)\theta_2(q^3).$$

See [12] for details. This equation provides a better way of computing the q-series expansion of a(q) than the definition. In **Exercise 2** you would have found that

$$c(q) = 3 \frac{\eta(3\tau)^3}{\eta(\tau)}.$$

See [12] for a proof. Define

$$y \coloneqq \frac{c^3}{a^3}$$

\_and

$$x := \left(\frac{\theta_2(q)}{\theta_2(q^3)}\right)^2 + \left(\frac{\theta_3(q)}{\theta_3(q^3)}\right)^2.$$

We use findpoly to find a polynomial relation between x and y.
> with (qseries):
> x1 := radsimp(theta2(q,100)^2/theta2(q^3,40)^2):
> x2 := theta3(q,100)^2/theta3(q^3,40)^2:
> x := x1+x2:
> c := q\*etaq(q,3,100)^9/etaq(q,1,100)^3:
> a := radsimp(theta3(q,100)\*theta3(q^3,40)+theta2(q,100)\*theta2(q^3,40)):
> c := 3\*q^(1/3)\*etaq(q,3,100)^3/etaq(q,1,100):
> y := radsimp(c^3/a^3):

> P1:=findpoly(x,y,q,3,1,60); WARNING: X,Y are global.

$$dims, 8, 18$$
The polynomial is
$$(X+6)^{3} Y-27 (X+2)^{2}$$
Checking to order, 60
$$O(q^{59})$$
P1 :=  $(X+6)^{3} Y-27 (X+2)^{2}$ 
(26)

It seems that x and y satisfy the equation

$$p(x, y) = (x+6)^{3} y - 27 \cdot (x+2)^{2} = 0.$$

Therefore it would seem that

$$\frac{c^3}{a^3} = \frac{27 \cdot (x+2)^2}{(x+6)^3}$$

See [8, pp. 4237-4240] for more details.

**EXERCISE 10.** Define

$$m := \left(\frac{\theta_3(q)}{\theta_3(q^3)}\right)^2.$$

Use **polyfind** to find  $y = \frac{c^3}{a^3}$  as a rational function in *m*. The answer is Eq.(12.8) in [8].

# 5. Sifting coefficients

\_Suppose we are given a *q*-series

$$A(q) = \sum_{n=0}^{\infty} a_n q^n.$$

Occasionally it will turn out the generating function

$$\sum_{n=0}^{\infty} a_{mn+r} q^n.$$

will have a very nice form. A famous example for p(n) is due to Ramanujan:

$$\sum_{n=0}^{\infty} p(5n+4) q^{n} = 5 \prod_{n=1}^{\infty} \frac{\left(1-q^{5n}\right)^{6}}{\left(1-q^{n}\right)^{5}}.$$

See [1, Cor. 10.6]. In fact, G.H. Hardy and Major MacMahon [31, p. xxxv] both agreed that this is Ramanujan's most beautiful identity. Suppose *s* is the *q*-series

 $\sum_{i} a_{i} q^{i} + O(q^{T})$ 

then sift(s,q,n,k,T) returns the q-series

$$\sum_{i} a_{ni+k} q^{i} + O\left(q^{\frac{1}{n}}\right)$$

T

We illustrate this function with another example from the theory of partitions. Let pd(n) denote the number of partitions of n into distinct parts. Then it is well known that

$$\sum_{n=0}^{\infty} pd(n) q^{n} = \prod_{n=1}^{\infty} (1+q^{n}) = \prod_{n=1}^{\infty} \frac{(1-q^{2n})}{(1-q^{n})}.$$

We now examine the generating function of 
$$pd(5 n + 1)$$
 in MAPLE.  
> with (qseries):  
> PD:=series (etaq(q,2,200)/etaq(q,1,200),q,200):  
> PD1:=sift(PD,q,5,1,199);  
PD1:= 382075868  $q^{39}$  + 281138048  $q^{38}$  + 206084096  $q^{37}$  + 150473568  $q^{36}$  (27)  
+ 109420549  $q^{35}$  + 79229676  $q^{34}$  + 57114844  $q^{33}$  + 40982540  $q^{32}$  + 29264960  $q^{31}$   
+ 20792120  $q^{30}$  + 14694244  $q^{29}$  + 10327156  $q^{28}$  + 7215644  $q^{27}$  + 5010688  $q^{26}$   
+ 3457027  $q^{25}$  + 2368800  $q^{24}$  + 1611388  $q^{23}$  + 1087744  $q^{22}$  + 728260  $q^{21}$  + 483330  $q^{20}$   
+ 317788  $q^{19}$  + 206848  $q^{18}$  + 133184  $q^{17}$  + 84756  $q^{16}$  + 53250  $q^{15}$  + 32992  $q^{14}$   
+ 20132  $q^{13}$  + 12076  $q^{12}$  + 7108  $q^{11}$  + 4097  $q^{10}$  + 2304  $q^9$  + 1260  $q^8$  + 668  $q^7$  + 340  $q^6$   
+ 165  $q^5$  + 76  $q^4$  + 32  $q^3$  + 12  $q^2$  + 4  $q$  + 1  
> etamake (PD1,q,38);  

$$\frac{\eta(5\tau)^3 \eta(2\tau)^2}{q^{5/24} \eta(10\tau) \eta(\tau)^4}$$
(28)

So it would seem that

$$\sum_{n=0}^{\infty} pd(5n+1) q^{n} = \prod_{n=1}^{\infty} \frac{\left(1-q^{5n}\right)^{3} \left(1-q^{2n}\right)^{2}}{\left(1-q^{10n}\right) \left(1-q^{n}\right)^{4}}.$$

This result was found originally by Rodseth [33].

**EXERCISE 11.** Rodseth also found the generating functions for pd(5 n + r) for r = 0, 1, 2, 3, and 4. For

each r use **sift** and **jacprodmake** to identify these generating functions as infinite products.

## 6. Product Identities

At present, the package contains the Triple Product identity, the Quintuple Product identity and Winquist's identity. These are the most commonly used of the Macdonald identities [30], [37], [38]. The Macdonald identities are the analogs of the Weyl denominator for affine roots systems. Hopefully, a later version of this package will include these more general identities.

## 6.1 The Triple Product Identity

The triple product identity is

$$\sum_{n=-\infty}^{\infty} (-1)^n z^n q^{\frac{n(n-1)}{2}} = \prod_{n=1}^{\infty} (1 - zq^{n-1}) (1 - z^{-1}q^n) (1 - q^n).$$
(6.1)

where  $z \neq 0$  and |q| < 1. The Triple Product Identity is originally due to Jacobi [24,Vol I]. The first combinatorial proof of the triple product identity is due to Sylvester [39]. Recently, Andrews [3] and Lewis [29] have found nice combinatorial proofs. The triple product occurs frequently in the theory of partitions. For instance, most proofs of the Rogers-Ramanujan identity crucially depend on the triple product identity.

**tripleprod** (z, q, T) returns the q-series expansion to order  $O(q^T)$  of Jacobi's triple product (6.1). This expansion is found by simply truncating the right side of (6.1).

> tripleprod(z,q,10);  

$$\frac{q^{21}}{z^6} - \frac{q^{15}}{z^5} + \frac{q^{10}}{z^4} - \frac{q^6}{z^3} + \frac{q^3}{z^2} - \frac{q}{z} + 1 - z + z^2 q - z^3 q^3 + z^4 q^6 - z^5 q^{10} + z^6 q^{15}$$
(29)

> tripleprod (q, q^3, 10);  

$$q^{57} + q^{51} - q^{40} - q^{35} + q^{26} + q^{22} - q^{15} - q^{12} + q^7 + q^5 - q^2 - q + 1$$
(30)

The last calculation is an illustration of Euler's Pentagonal Number Theorem [1, Cor. 1.7 p.11]:

$$\prod_{n=1}^{\infty} (1-q^n) = \prod_{n=1}^{\infty} (1-q^{3n-1})(1-q^{3n-2})(1-q^{3n}) = \sum_{n=-\infty}^{\infty} (-1)^n q^{\frac{n(3n-1)}{2}}$$
(6.2)

## 6.2 The Quintuple Product Identity

The following identity is the Quintuple Product Identity:

$$\left( -z; q \right)_{\infty} \left( -qz^{-1}; q \right)_{\infty} \left( z^{2}q; q^{2} \right)_{\infty} \left( z^{-2}q; q^{2} \right)_{\infty} (q; q)_{\infty} = \sum_{n=-\infty}^{\infty} \left( (-z)^{-3n} - (-z)^{3n+1} \right) \frac{n!(3n+1)}{q} \frac{n!(3n+1)}{2}$$
(6.3)  
Here |q|<1 and  $z \neq 0$ . This identity is the  $BC_{1}$  case of the Macdonald identities [30].  
The quintuple product identity is usually attributed to Watson [40]. However it can be found in Ramanujan's lost notebook [32, p. 207]. Also see [7] for more history and some proofs.  
The function quinprod ( $z, q, T$ ) returns the quintuple product identity in different forms:  
(i) If T is a positive integer it returns the q-expansion of the right side of (6.3) to order  $O(q^{T})$   
(ii) If T - prodid then quinprod ( $z, q, \text{prodid}$ ) returns the quintuple product identity in product identity in series form.  
(iii) If T - prodid then quinprod ( $z, q, \text{precised}$ ) returns the quintuple product identity in series form.  
(iii) If T - stretistic then quinprod ( $z, q, \text{seriesid}$ ) returns the quintuple product identity in series form.  
(iii) If T - stretistic then quinprod ( $z, q, q, \text{seriesid}$ ) returns the quintuple product identity in series form.  
(iii) a series form.  
(iii) If T - stretistic then quinprod ( $z, q, q, \text{seriesid}$ ) returns the quintuple product identity in series form.  
(iii)  $q^{3}_{n} = \left( \left( -\frac{q}{2}, q \right)_{\infty} + \left( \frac{q^{2}}{2^{3}}, q^{2} \right)_{\infty} + \left( (q, q)_{\infty} = z + \left( \frac{q}{2^{3}}, q^{3} \right)_{\infty} + \left( q^{2} z^{3}, - (31) - (-z, q)_{\infty} + \left( -\frac{q}{2}, q \right)_{\infty} + \left( \frac{2}{2^{3}}, q^{2} \right)_{\infty} + \left( (q, q)_{\infty} = \sum_{m=-\infty}^{\infty} ((-z)^{-3m} - (-(32) - (-z, q)_{\infty})^{-1} \left( -\frac{q}{2}, q \right)_{\infty} + \left( \frac{2}{2^{3}}, q^{2} \right)_{\infty} + \left( (q, q)_{\infty} = \sum_{m=-\infty}^{\infty} ((-z)^{-3m} - (-(32) - (-z, q)_{\infty})^{-1} \left( -\frac{q}{2^{3}}, q \right)_{\infty} + \left( -\frac{1}{2^{3}}, q^{2} \right)_{\infty}$ 

> E0:=sift(EULER,q,5,0,499);  

$$E0 := q^{99} - q^{85} - q^{69} - q^{66} - q^{52} + q^{42} + q^{31} + q^{29} + q^{20} - q^{14} - q^8 - q^7 - q^3 + q + 1 \quad (34)$$
> jp:=jacprodmake(E0,q,50);  

$$jp := \frac{JAC(2,5,\infty) JAC(0,5,\infty)}{JAC(1,5,\infty)} \quad (35)$$
> jac2prod(jp);  

$$\frac{\cdot \cdot (q^5, q^5)_{\infty} \cdot \cdot (q^2, q^5)_{\infty} \cdot \cdot (q^3, q^5)_{\infty}}{\cdot \cdot (q, q^5)_{\infty} \cdot \cdot (q^4, q^5)_{\infty}} \quad (36)$$

> qp:=quinprod(q,q^5,20):
> series(qp,q,100);

$$1 + q - q^{3} - q^{7} - q^{8} - q^{14} + q^{20} + q^{29} + q^{31} + q^{42} - q^{52} - q^{66} - q^{69} - q^{85} + O(q^{99})$$
(37)

From our maple session it appears that

$$E_{0} = \frac{(q^{5}; q^{5})_{\infty} (q^{2}; q^{5})_{\infty} (q^{3}; q^{5})_{\infty}}{(q; q^{5})_{\infty} (q^{4}; q^{5})_{\infty}}$$
(6.4)

and that this product can be gotten by replacing q by  $q^5$  and z by q in the product side of the quintuple product identity (6.3).

**EXERCISE 12.** (i) Use the quintuple product identity (6.3) and Euler's pentagonal number theorem

to prove (6.4).

(ii) Use MAPLE to identify and prove product expressions for  $E_1$  and  $E_2$ .

(iii) This time see if you can repeat (i), (ii) but split the exponent mod 7.

(iv) Can you generalize these results to arbitrary modulus? Atkin and Swinnerton-Dyer found a generalization. See Lemma 6 in [6].

### 6.3 Winquist's Identity

\_Back in 1969, Lasse Winquist [43] discovered a remarkable identity

$$(a;q)_{\infty} (a^{-1}q;q)_{\infty} (b;q)_{\infty} (b^{-1}q;q)_{\infty} (ab;q)_{\infty} ((ab)^{-1}q;q)_{\infty} (ab^{-1};q)_{\infty} (a^{-1}bq;q)_{\infty} (q;q)_{\infty}^{2}$$

$$= \sum_{n=0}^{\infty} \sum_{m=-\infty}^{\infty} (-1)^{n+m} ((a^{-3n}-a^{3n+3})(b^{-3m}-b^{3m+1}) + (a^{-3m+1}-a^{3m+2})(b^{3n+2}-b^{3m+1}) + (a^{-3m+1}-a^{3m+2})(b^{3n+2}-b^{-3m-1})(a^{-3m+1}-a^{-2m+1}) + (a^{-3m+1}-a^{-2m+1})(b^{-2m+1}-a^{-2m+1})(a^{-2m+1}-a^{-2m+1}) + (a^{-2m+1}-a^{-2m+1})(a^{-2m+1}-a^{-2m+1})(a^{-2m+1}-a^{-2m+1}) + (a^{-2m+1}-a^{-2m+1})(a^{-2m+1}-a^{-2m+1})(a^{-2m+1}-a^{-2m+1}) + (a^{-2m+1}-a^{-2m+1})(a^{-2m+1}-a^{-2m+1$$

By dividing both sides by (1-a)(1-b) and letting  $a, b \to 1$  he was able to express the product

$$\prod_{n=1}^{\infty} \left(1-q^n\right)^{10}$$

as a double series and prove Ramanujan's partition congruence  $p(11 n + 6) \equiv 0 \pmod{11}.$ 

This was probably the first truly elementary proof of Ramanujan's congruence modulo 11. The interested

reader should see Dyson's article [14] for some fascinating history on identities for powers of the Dedekind

eta function and how they led to the Macdonald identities. A new proof of Winquist's identity has been

found recently by S.-Y. Kang [25]. Mike Hirschhorn [22] has found a four-parameter generalization of

Winquist's identity.

The function **winquist(a,b,q,T)** returns the q-expansion of the right side of (6.5) to order  $O(q^T)$ .

We close with an example. For 1 < k < 33 define  $Q(k) := \left(q^{k}; q^{33}\right)_{\infty} \left(q^{33-k}; q^{33}\right)_{\infty} \left(q^{33}; q^{33}\right)_{\infty}.$ Now define the following functions:  $A_0 = Q(15), A_3 = Q(12), A_7 = Q(6), A_8 = Q(3), A_9 = Q(9).$  $B_0 = Q(16) - q^2 Q(5),$  $B_1 = Q(14) - qQ(8),$  $B_2 = Q(13) - q^3 Q(2),$  $B_A = Q(7) + qQ(4),$  $B_7 = Q(10) + q^3 Q(1).$ These functions occur in Theorem 6.7 of [17] as well as the function  $A_0B_2 - q^2A_0B_4$ . > with(gseries): > Q:=n->tripleprod(q^n,q^33,10): > A0:=Q(15): A3:=Q(12): A7:=Q(6):> A8:=Q(3): A9:=Q(9):> B2:=Q(13)-q^3\*Q(2): B4:=Q(7)+q\*Q(4):> IDG:=series( ( A0\*B2-q^2\*A9\*B4),q,200): > series(IDG,q,10);  $1 - q^2 - 2q^3 + q^5 + q^7 + q^9 + O(q^{11})$ (38) > jp:=jacprodmake(IDG,q,50);  $jp := \frac{JAC(2, 11, \infty) JAC(3, 11, \infty)^2 JAC(5, 11, \infty)}{JAC(0, 11, \infty)^2}$ (39) > jac2prod(jp);  $(q^{2}, q^{11})_{\infty} \cdot (q^{9}, q^{11})_{\infty} \cdot (q^{11}, q^{11})_{\infty}^{2} \cdot (q^{3}, q^{11})_{\infty}^{2} \cdot (q^{8}, q^{11})_{\infty}^{2} \cdot (q^{5}, q^{11})_{\infty} \cdot (q^{6}, q^{6}, q^{6}, q^{6}, q^{6}, q^{6}, q^{6})$ (40)  $a^{11}$ )...

> series (winquist (q<sup>5</sup>, q<sup>3</sup>, q<sup>11</sup>, 20), q, 20);  

$$1 - q^2 - 2q^3 + q^5 + q^7 + q^9 + q^{11} + q^{12} - q^{13} - q^{15} - q^{16} - q^{18} + O(q^{20})$$
(41)

> series (IDG-winquist (q^5, q^3, q^11, 20), q, 60);  

$$O(q^{49})$$
(42)

From our maple session it seems that

$$A_{0}B_{2} - q^{2}A_{9}B_{4} = (q^{2};q^{11})_{\infty} (q^{9};q^{11})_{\infty} (q^{11};q^{11})_{\infty}^{2} (q^{3};q^{11})_{\infty}^{2} (q^{8};q^{11})_{\infty}^{2} (q^{5};q^{11})_{\infty} (q^{6};q^{11})_{\infty}$$

(6.6)

and that this product appears in Winquist's identity on replacing  $_{=}q$  by  $q^{11}$  and letting  $a = q^5$  and  $b = q^3$ .

#### EXERCISE 13.

(i) Prove (6.6) by using the triple product identity (6.1) to write the right side of Winquist's identity (6.5) as a sum of two products.

(ii) In a similar manner find and prove a product form for

$$A_0 B_0 - q^3 A_7 B_4.$$

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